0562-04

STATE EMPLOYMENT RELATIONS BOARD

2001 JAN 16 A 9:38

# **AGREEMENT**

# Between

# **CLEVELAND STATE UNIVERSITY**

and

# SERVICE EMPLOYEES INTERNATIONAL UNION District 925 AFL-CIO





Effective July 1, 1998 through June 30, 2001

# 2001 JAN 16 A 9:38

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#### ARTICLE I

#### **PURPOSE**

This Agreement is entered into between Cleveland State University, hereinafter referred to as the "University" or the "Administration," and District 925, Service Employees International Union, AFL-CIO, hereinafter referred to as the "Union," and constitutes a binding agreement between the parties.

This Agreement has as its purpose the promotion of positive relations between the University and the Union; the enhancement of mutual dignity and respect; the establishment of an equitable and peaceful procedure for the resolution of differences; and the clarification of certain rights, privileges and obligations of the parties together with certain working conditions.

#### ARTICLE II

# RECOGNITION

- Section 1. The University hereby recognizes District 925, Service Employees International Union, AFL-CIO, as the sole and exclusive representative for the purpose of collective bargaining with respect to wages, benefits, hours, and other terms and conditions of employment for all members of the bargaining unit described below, pursuant to certification by the Ohio State Employment Relations Board (SERB) in Case No. 97-REP-10-0262 dated March 26, 1998.
- The bargaining unit shall consist of full-time professional employees and parttime professional employees with annualized full-time equivalent (FTE) of .5 or greater, including employees in temporary positions with a contract of one (1) year or longer duration or temporary employees in their current position for one year or longer. When appropriate to the position, FTE will be calculated by dividing the annually scheduled hours by 2080.
- Section 3. Excluded from the bargaining unit are supervisors, management level employees, confidential employees, seasonal employees, casual employees, police, and students as defined in Ohio Revised Code 4117, classified employees, faculty, deans and part-time and temporary employees not described in Section 2 of this Article as included.
- Unit Clarification. If the Union believes that bargaining unit work is being performed by non-bargaining unit employees, the Union shall submit a letter with the details to the Vice President for Human Resources Development and Labor Relations. The parties shall meet to discuss the matter within ten (10) working days of receipt of the letter. Prior to the meeting, the University shall provide the Union with documentation it has collected regarding the issue. If there is no satisfactory resolution within twenty (20) working days of the original submission, unless the parties mutually agree to extend the time limits, the Union may petition the SERB for unit clarification.
- Section 5. The Union retains the right to grieve or take other appropriate action if it believes the University is attempting to improperly erode the bargaining unit.

Where used in this Agreement, the term "employee" includes all employees of the bargaining unit except where specifically stated.

Section 7. Whenever a male or female pronoun or adjective is used, it refers to persons of either sex.

#### ARTICLE III

#### NON-DISCRIMINATION AND AFFIRMATIVE ACTION

- Section 1. Both the University and the Union recognize their respective responsibilities for non-discrimination under Federal and State civil rights laws, fair employment practice acts, and other similar constitutional and statutory requirements. Therefore, both parties hereby reaffirm their legal and moral commitments not to discriminate with regard to race, color, religion, national origin, sex, age, sexual orientation, handicap or disability, employee organization, veteran status or political organization.
- <u>Section 2.</u> The Administration affirms its established policy of non-discrimination in employment (appointment, promotion, layoff, etc.). The Administration declares its determination to actively recruit, retain and promote qualified women and minorities.

The University agrees to provide the Union with a copy of the Affirmative Action report that is submitted to the Department of Labor.

- <u>Section 3.</u> The University and the Union agree that sexual harassment is an offensive form of discrimination and agree that acts of sexual harassment are prohibited.
- Section 4. Nothing in this Article shall preclude any member of the bargaining unit from protecting her or his rights to be free from unlawful discrimination pursuant to any Federal or State law which addresses discrimination directly, and without resort to the grievance and arbitration procedures contained in this Agreement.
- Section 5. Bargaining unit members shall be free to join or not to join the Union as they may choose, to process grievances and to exercise such other rights as they may have under Ohio Revised Code Section 4117.03.

There will be no reprisals of any kind, or threats thereof, taken against any employee by reason of her/his membership or non-membership in the Union, or participation or nonparticipation in any of its activities, or participation in the grievance procedure.

#### **ARTICLE IV**

#### NO STRIKE/NO LOCKOUT

Section 1. The Administration and the Union subscribe to the principle that any and all differences arising under this Agreement should be resolved by peaceful and appropriate means without any interruption of the University's programs and operations.

- Section 2. The Union and its officials agree that so long as this Agreement is in effect, they shall not call, engage in, or assist in any way, any strike, sympathy strike, slowdown, stoppage of work, concerted effort not to meet classes, boycott, or any other concerted act that impedes the normal operation of the University.
- Section 3. No member of the bargaining unit shall instigate or participate, directly or indirectly, in any strike, sympathy strike, slowdown, stoppage of work, concerted effort not to meet classes, boycott, or in any other concerted act that impedes the normal operations of the University.
- Section 4. During the term of this Agreement, there shall be no lockout of members of the bargaining unit by the Administration.
- Section 5. Any violation of Section 3 above will be just cause for disciplinary action in accordance with Article IX of this Agreement.
- Section 6. The Union shall inform all members of the bargaining unit concerning their obligations under the provisions of this Article and the necessity of complying with those obligations and shall further inform members of the bargaining unit that the Union does not sanction or approve of noncompliance with the provisions of this Article.

#### **ARTICLE V**

# **MANAGEMENT RIGHTS**

- <u>Section 1.</u> The Union recognizes the University as the body of authority vested exclusively with the right to manage and operate the University. The University shall have the right to take actions it considers necessary and proper to affect any management policy, expressed or implied, except as expressly limited under this Agreement. Nothing in this Article shall be construed to restrict or to limit any management authority.
- <u>Section 2.</u> Except as limited by the terms of this Agreement, the University's management rights include, but are not limited to, the right to:
- A. Determine matters of inherent managerial policy that include, but are not limited to, areas of discretion and policy such as the functions and programs of the University, standards of services, its overall budget, utilization of technology, and organizational structure;
  - B. Direct, supervise, train, evaluate, and hire employees;
  - C. Maintain and improve the efficiency and effectiveness of University operations;
  - D. Determine the overall methods, processes, means or personnel by which University operations are to be conducted; determine the University's goals, objectives, programs and services;
  - E. Suspend, discipline, demote, or discharge for just cause, or lay off, transfer, assign, schedule, promote or retain employees;

- F. Determine the size and composition of the workforce;
- G. Determine the overall mission of the University as a unit of government;
- H. Effectively manage the workforce; and
- I. Take actions to carry out the mission of the University as a governmental unit.

Further, and only as limited under this Agreement, the University retains all rights, expressed and reserved, to do all things appropriate and incidental to any of its rights, powers, prerogatives, responsibilities, and authority, and in all respects to carry out the ordinary and customary functions of the University.

#### ARTICLE VI

#### CHECKOFF AND FAIR SHARE FEES

- <u>Section 1.</u> The University will deduct any initiation fees and dues levied in accordance with the Constitution and Bylaws of the Union from the pay of members of the bargaining unit upon receipt from the Union of individual signed authorization cards executed by the member for that purpose and bearing his signature.
- Section 2. The University's obligation to make deductions shall terminate automatically upon receipt of revocation of authorization within the thirty (30) day period prior to the termination of this contract or upon the termination of employment or transfer of an employee to a job classification outside the bargaining unit.
- Section 3. All employees who are covered by this agreement and who are not members of the Union and who have been employed by the University for sixty (60) days or more shall pay a fair share fee not greater than the dues paid by members of the Union. Said fair share fee shall be paid by payroll deduction as provided in this Article.
- Section 4. All authorized deductions will be made from the employee's pay on a regular monthly basis in the first and second paycheck of the month. The University shall deduct from the first and second paycheck of each month of each non-member of the recognized bargaining unit a fair share fee in an amount determined by the Union, but not greater than the amount of monthly Union dues. All deductions shall be transmitted to the Union no later than the 15<sup>th</sup> day following the end of the month in which the deduction is made together with a list of the members of the bargaining unit paying such dues or fees by payroll deduction, and upon receipt the Union shall assume full responsibility for the disposition of all funds deducted.
- <u>Section 5.</u> The Union shall furnish the name, title, and address of the authorized person or organization to whom the authorized deductions shall be sent by the University.
- Section 6. The Union shall indemnify and hold the University and any of its agents harmless against any and all claims, demands, suits, and other forms of liability that may arise out of, or by reason of action taken or not taken, by the University for the purpose of complying with any

of the provisions of this Article or in reliance on any notice or authorization form furnished under any of the provisions of this Article.

Section 7. The Union will provide the University with packets of information about the Union to be distributed by the University at new employee orientation.

Section 8. Voluntary Payroll Deductions. Upon an employee's voluntary written assignment filed at least three (3) weeks in advance, the University agrees to deduct from the employee's wages on a regular basis, amounts due and payable to COPE, United Way, Community Shares, and other organizations currently recognized under University policy. The amounts so deducted shall be mailed or otherwise forwarded to the designated organization in a timely fashion as required.

It is expressly understood that the University shall assume no liability in connection with such voluntary deductions. All disputes and adjustments shall be matters to be resolved between the employee involved and the recognized organization.

The University shall not be held liable for any consequence of either failure to make an authorized deduction or any mistakes in connection therewith.

The employees agree to indemnify, defend and save the University harmless against any and all claims, demands, suits or other form of liability that shall arise out of or by reason of action taken by the University in reliance upon the voluntary authorization described in this Section 8.

The University, on the occurrence of one of the following, shall terminate any deduction described in this Section 8:

- (1) The death of the employee;
- (2) The termination of the employee's employment under this Agreement;
- (3) Written request of the employee filed at least three (3) weeks in advance of the effective date of termination; in which case, the University will not be required to honor another voluntary assignment filed by the employee for a period of one (1) year from the date of termination.

#### **ARTICLE VII**

# **UNION REPRESENTATION**

Section 1. Grievances. Union officers/stewards who desire to process grievances or conduct other union business during working hours shall contact their supervisor to make arrangements for the conduct of the Union business. Upon obtaining prior approval from the employee's supervisor, the officer or steward shall be permitted to investigate grievances or conduct union business during working hours without loss of pay. If an officer/steward abuses any or all of the privileges of this Section 1, such privileges shall be removed by the University.

- Section 2. Release Time. In order to enable the Union better to discharge its duties and responsibilities as the exclusive bargaining agent, the University shall grant release time without loss of wages, benefits, or other privileges to bargaining unit members with the following conditions:
- A. Requests for release time will require the prior approval of the employee's supervisor. Such requests shall not be unreasonably denied.
- B. The Union President shall be permitted to use up to eight (8) hours per month of paid, non-cumulative release time for the conduct of Union business.
- C. Once each contract year, a single four (4) hour training session may be scheduled by the Union which all stewards and officers may attend and for which they may be compensated. The Union shall notify the Vice President for Human Resources Development and Labor Relations, or designee, in writing, at least two (2) weeks in advance of the training of the date and time of the training and the names, departments and the names of the supervisors of the stewards and officers to be released to attend.
- Section 3. Union Representatives. The University shall recognize up to twelve (12) officers and stewards for the purpose of administering the collective bargaining agreement and adjudicating grievances. The Union will inform the University of the identity of officers and stewards of the Union on an annual basis with updates as needed. The Union will provide the University with a list of alternate stewards who shall assume the duties and rights of stewards when the regular steward is absent or if a conflict of interest requires the regular steward to withdraw from the matter involved.
- <u>Section 4.</u> <u>Use of University Facilities.</u> The Union shall be permitted reasonable use, to the extent permitted by law, of University facilities, services, and publications on the same basis and at the same cost as other recognized campus organizations.
- Section 5. Union Office Space. The University shall provide furnished space at the University at no cost to the Union to be utilized by the Union for an office. The office shall be hard-wired for two (2) computer ports. The University shall provide free local phone service and equipment.
- Section 6. Telecommunication. The Union shall be allowed to establish up to five (5) phone mail distribution lists through telecommunication services.
- <u>Section 7.</u> <u>Phone Mail.</u> The University's phone mail system shall be made available on the Union's campus extension line.
- Section 8. E-mail Account / Phone Listing. At no cost to the Union, the Chapter will be provided with: an E-mail account, a link for maintaining a web page accessible on the Worldwide Web, a cable connection to the University computer network, and a listing of SEIU/District 925 office telephone numbers in the University Faculty/Staff Directory with the name: "SEIU/District 925."
- <u>Section 9.</u> <u>Faculty/Staff Directory.</u> The Union shall be supplied with one copy of the University Faculty/Staff Directory annually.

- Section 10. Bulletin Board. A secured bulletin board for the Union shall be provided at a location mutually agreed upon by the Union and the University.
- Section 11. Parking Permits. The University shall provide faculty/staff daily parking permits to no more than two (2) Union business representatives.
- Section 12. Agreement Copies. Copies of this Agreement shall be printed at the University's expense and distributed to all members of the bargaining unit. Upon hire, the University will provide each new employee in a bargaining unit position with a copy of this Agreement. The University shall provide the Union with fifty (50) additional copies of the Agreement free of charge.
- Section 13. Committees. Union representatives appointed to University committees shall receive paid release time for attendance at committee meetings scheduled during their regular work hours.

# Section 14. Notification.

- A. Upon execution of this Agreement and on a quarterly basis thereafter, the Union President shall be notified, in writing, of all new bargaining unit hires, including their name, date of hire, job classification, grade, salary, department, contract status, home address and phone number. In addition, the list shall include any promotions, demotions, and transfers (with the employees' previous and new classification, salary, contract status and work location); terminations and resignations; and leaves of absence.
- B. Upon the execution of this Agreement, and each anniversary date thereafter, the University will give the Union a list of bargaining unit employees and their job classification, grade, status, salaries, hiring dates and seniority.
- C. The Union will furnish the Vice President of Human Resources Development and Labor Relations with a list of Union officers and stewards on an annual basis with updates as needed.
- Section 15. Information. The University shall make available to the Union President:
- A. A copy of all position announcements for bargaining unit positions as soon as the position announcement is approved for distribution.
- B. One copy of the agenda for all Board of Trustees meetings when they are distributed to other Board members. After minutes are approved, the Union President shall receive one copy of minutes for each meeting.
  - C. One copy of the audited Annual Financial Report immediately upon release.

#### ARTICLE VIII

#### GRIEVANCE PROCEDURE

Section 1. It is mutually understood that the prompt presentation, adjustment, and/or answering of grievances is desirable in the interest of sound relations between the employees and the University. The prompt and fair disposition of grievances involves important and equal obligations and responsibilities, both joint and independent, on the part of the representatives of each party to protect and preserve the Grievance Procedure as an orderly means of resolving grievances.

Section 2. A grievance is a dispute concerning the wages, fringe benefits, hours, and working conditions set forth in this Agreement or the interpretation and/or application of and/or compliance with any provision of this Agreement or University rules or policies. Any bargaining unit member or the Union may bring a grievance under this procedure. A grievance may be withdrawn by the Union at any time.

When such grievances arise, the following procedure shall be observed:

- Step 1. <u>Informal Resolution.</u> An employee shall first present a grievance informally and have it considered by the employee's designated supervisor in order to achieve a resolution. The grievant may be accompanied by a Union representative at this informal meeting. At the commencement of such meeting, the employee shall present the designated supervisor with a written notice that the meeting is Step 1 of the grievance procedure. If a resolution is reached, the parties shall sign a mutual release indicating a settlement of the grievance. A resolution of a grievance at the informal stage shall not be precedent setting. The Union shall be notified of any Step 1 meeting and any subsequent adjustment by the designated supervisor if a Union representative was not present at the meeting.
- Step 2. If the grievance is not resolved under the informal method set forth in Step 1, and the employee wishes to pursue it, a written grievance must be filed within ten (10) working days of the event upon which the grievance is based with both the designated representative in the Department of Human Resources Development and Labor Relations ("Department of Human Resources" or "HRD") and with the employee's Department Head or appropriate administrator. Within five (5) working days after the filing of the grievance, a meeting shall be held among the HRD representative, the Department Head, the grievant(s) and/or a Union representative. The HRD representative shall issue a written decision to the grievant within seven (7) working days from the date that the meeting was held. A copy of said response shall be sent to the Union representative.
- Step 3. If the grievance is not satisfactorily settled in Step 2, the grievant and/or the Union may file a written appeal with the University's Vice President for Human Resources Development and Labor Relations within five (5) working days after receipt of the Step 2 response. The Vice President shall schedule a meeting with the grievant and/or a Union representative within seven (7) working days after receipt of the appeal. The Vice President shall issue a written decision to the grievant within seven (7) working days from the date that the meeting was held. A copy of said response shall be sent to the Union representative.

In the event of a suspension or discharge, a grievance can be initially submitted by the Union or grievant to the Vice President for Human Resources Development and Labor Relations within ten (10) working days of the suspension or discharge.

- Step 4. If the grievance is not satisfactorily settled in Step 3, the Union may submit the matter to arbitration by so notifying the Vice President for Human Resources Development and Labor Relations in writing within ten (10) working days after the next regularly scheduled Union Executive Board meeting, but no later than forty-five (45) calendar days after receipt of the Step 3 response.
- Section 3. Mediation. The parties may mutually agree to pursue mediation of a grievance in accordance with the Rules of the Federal Mediation and Conciliation Service ("FMCS") or through a private mediator mutually appointed by the parties, within the forty-five (45) calendar day period at Step 4, prior to written notification by the Union to the Vice President for Human Resources Development and Labor Relations of the Union's intent to arbitrate. Such an agreement among the parties will be confirmed in writing. If mediation is utilized, the Union need not notify the Vice President of the Union's intent to arbitrate until twenty-one (21) calendar days after the conclusion of mediation.
- A. If mediation through FMCS is pursued, the mediation will be pursued and conducted in accordance with the Rules of the FMCS in effect on the date that the request for mediation was sent. The mediation will be conducted within thirty (30) calendar days of the appointment of a mediator.
- B. Any party may end mediation at any time after participation in the mediation process by giving written notice to the mediator and to the other party or parties. The mediator may withdraw at any time by giving written notice to the parties.
- C. The fees and expenses of mediation, if any, will be borne equally by the University and the Union.
- Section 4. Arbitration. The Union shall notify the University of its intent to appeal the grievance to arbitration. Upon written notice of the Union's intent to arbitrate a grievance, the parties shall proceed to arbitration pursuant to the following procedure.
- A. Within sixty (60) calendar days of the ratification of this Agreement, the parties shall meet and submit names and resumes of potential arbitrators to jointly create a panel of six (6) arbitrators. The parties must agree on the list of arbitrators selected. Once per year during this Agreement, the parties will meet to review the panel of arbitrators and determine the continued use of the existing list of panel members. The parties shall endeavor to select arbitrators who will hold hearings within thirty (30) calendar days of their selection and who will render a decision within thirty (30) calendar days from the conclusion of the hearing.
- B. Upon receipt of a notice to arbitrate, the arbitrator may be selected by mutual consent of the University and the Union from the panel. If the parties cannot agree, they shall choose an arbitrator by alternatively striking names from the panel until one (1) name remains as the arbitrator. The order of striking shall be determined by a coin toss. The arbitrator shall be notified as soon as possible of his selection and a hearing shall be held, if possible, within thirty

- (30) days of the arbitrator's confirmation that he has accepted the appointment as arbitrator. The arbitration procedure and hearing shall be governed by the American Arbitration Association's then applicable rules and regulations.
- C. If the need for an arbitrator arises and the University and the Union have not selected an arbitration panel or are unable to select a panel, the American Arbitration Association shall submit up to three (3) panels of arbitrators to each party and the arbitrators will be chosen and the matter shall proceed in accordance with the Association's then applicable rules and regulations.
- D. In the event a matter proceeds to arbitration, the arbitrator shall have jurisdiction only over the matter(s) submitted. The arbitrator shall have no authority to alter in any way the terms and conditions of this Agreement or University Rules or Policies.
- E. The fees and other expenses of the arbitration and arbitrator shall be shared equally. Any University employee called as a witness by either side will continue to receive the regular rate of pay while attending such hearing for those hours the employee would have been scheduled to work.
- Section 5. Final and Binding. The Grievance Procedure set forth herein shall be the exclusive method of reviewing and settling grievances between the University and the Union and/or between the University and an employee(s), and by invoking this procedure, the Union and the University waive the right to litigate or resolve such grievances in any other forum or by any other procedure. All decisions of arbitrators and all pre-arbitration grievance settlements reached by the Union and the University shall be final, conclusive, and binding on the University, the Union, and the employee(s) involved.
- Section 6. <u>Limitations.</u> This grievance procedure shall not limit the right of any employee to present a grievance and have it adjusted without intervention of the Union, as required by the Ohio Revised Code Section 4117.03 (A) (5), as long as the adjustment is not inconsistent with the terms of this Agreement and provided that the Union shall have notice of and the opportunity to have a representative present at the final adjustment proceeding.

#### Section 7. General Provisions.

- A. Any grievance that is not processed in a timely manner by the Union shall be considered withdrawn. Any grievance that is not processed in a timely manner by the University shall be deemed to have been appealed to the next step. However, the parties may, by mutual written agreement, waive any steps or any of the time limits of this Article. Requests for extensions of the time limits shall not be unreasonably denied by either party. The parties will accept facsimiles to meet any deadline herein specified.
- B. Grievances may be processed by aggrieved employees during working hours, with no loss of pay or benefits. Hearings and meetings held under this procedure shall be conducted at a time and place that will afford a fair and reasonable opportunity for all persons entitled to be present to attend. Such meetings shall be held during working time with no loss of pay or benefits.
  - C. There shall be no retaliation or adverse action taken against any employee who

files a grievance or participates in the grievance procedure, regardless of the outcome of the grievance.

- D. Time limits referred to in this Article as "working days" shall be defined to be Monday through Friday, exclusive of Saturdays, Sundays, formal holidays recognized by the University, and periods when the University is officially closed for business.
- E. The Union and the grievant will receive written copies of all notices and dispositions pertaining to a grievance.
- F. If a grievance affects a group of members of the bargaining unit from more than one department, or if it arises from the actions of an authority higher than the grievant's designated supervisor, it may initially be submitted at Step 2.

#### **ARTICLE IX**

# **DISCIPLINARY ACTION**

- Section 1. The University shall not take disciplinary action against an employee without just cause.
- Section 2. Disciplinary action will be based on an evaluation of all the facts including the nature and extent of the violation, previous conduct and possible extenuating circumstances. The University shall follow progressive discipline, which shall generally include: (1) first written warning; (2) second written warning; (3) suspension; and (4) termination. Although the University favors progressive discipline, nothing herein shall limit the right of the University to use any of the disciplinary steps, consistent with requirements of just cause, for any offense that is of such a serious nature that use of progressive discipline is not appropriate or warranted.
- Section 3. Due Process. All employees shall have the right to Union representation at all steps of the disciplinary procedure. Beginning with the second written warning, an employee and the Union shall receive three (3) working days notice that a disciplinary meeting will take place. The written notification will state the nature of the problem and the proposed action, advise the employee of his right to have a Union representative present and set a date and time for the meeting. If the date and time are not mutually agreeable, the supervisor and the employee shall arrange another date and time. For suspensions and terminations, the Department of Human Resources Development and Labor Relations will notify the Union President and Union Representative and both the University and Union shall be involved in the meeting. Normally, no discipline beyond the second written warning shall be imposed until after a disciplinary meeting, during which the employee and her/his Union representative shall have the opportunity to refute the charges.

In the event the Administration finds it necessary to remove an employee from University property before the three (3) day notice or meeting, the employee may be suspended with pay pending the conduct of the meeting, which shall be held within three (3) business days of the suspension at a time that is mutually acceptable to the parties involved.

Section 4. Employees have the right to appeal disciplinary actions through the grievance procedure as set forth in Article VIII. Employees subject to discipline, up to and including discharge, shall receive notification of disciplinary actions in writing. All employees shall have the right to attach a written rebuttal to all written disciplinary documents.

Section 5. Written disciplinary documents for offenses that were subject to progressive discipline under Section 2 above shall not be considered for purposes of discipline after eighteen (18) months from entry provided no further discipline for a similar infraction has occurred since that incident.

#### ARTICLE X

#### PROBATIONARY PERIOD

Section 1. Effective July 1, 2000, all new employees shall be considered to be on probation for a period of one (1) year from the date of hire in a bargaining unit position. The probationary period may be extended by mutual agreement between the University and the Union. An employee may be discharged for any reason during the probationary period and such discharge shall not be subject to the grievance procedure.

A temporary employee in her/his current position who becomes a member of the bargaining unit after one (1) year in the same position, in accordance with Article II (Recognition), shall have time spent in such position credited toward satisfying the probationary period for the particular job involved.

<u>Section 2.</u> If an employee is discharged or quits while on probation and is later rehired, he/she shall be considered a new employee and subject to the above provisions.

<u>Section 3.</u> If possible, new employees shall receive a copy of their job description upon hire. New employees will receive training on job-related procedures and policies.

<u>Section 4.</u> During the probationary period, the employee shall receive a written evaluation from the supervisor at the midpoint of the probationary period.

#### **ARTICLE XI**

#### PERSONNEL FILES

Section 1. All personnel files in the University are public information. The Department of Human Resources Development and Labor Relations is responsible for the creation, maintenance and coordination of the official University personnel files for bargaining unit employees. The official personnel file ("Personnel File") for each employee shall be maintained in the Department of Human Resources Development and Labor Relations. Individual employees' working files that are needed to carry out the day-to-day administrative functions of departments/colleges may be kept in the offices of the employee's Department and/or the Dean of the College. Upon written request, employees shall have access at reasonable times to their working files.

- Section 2. To obtain access to her/his Personnel File, an employee shall make a written request to the Vice President for Human Resources Development and Labor Relations (Vice President of HR) or designee.
- Section 3. Upon written request to the Vice President of HR or designee, any employee shall be given, at no cost, a copy of any item(s) contained within the employee's Personnel File within three (3) working days.
- Section 4. If a document is to be inserted into the Personnel File and does not include in its distribution a copy of the document for the employee, a copy of the document shall be forwarded to the individual at the time the document is inserted in her/his file. There shall be no official disciplinary action documents in an employee's Personnel File that he/she has not had an opportunity to review and sign. No anonymous letters of commendation or complaint shall be placed or maintained in an employee's Personnel File.
- Section 5. Employees have the right to add complimentary materials to their files at any time.
- Section 6. An employee may attach a written response to any item in the employee's Personnel File or working files. The employee shall send such written response to the Vice President of HR for the Personnel File, and to the appropriate administrator for working files.
- Section 7. Any request to see an employee's file(s) must be made in writing. An employee whose file has been requested by a third party shall be immediately notified in writing of the request. The request for access shall not be complied with until one (1) working day after the notification has been given to the employee whose file has been requested. A member of the Human Resources office shall be present whenever any person outside of the Human Resources office is examining a file.
- Section 8. The official Personnel File for each employee will contain the following, if available:
  - A. Letter of application and all materials requested or received by the University from persons other than the applicant in connection with the employee's original employment, including official academic transcripts;
  - B. Offer of appointment and initial date of employment; employee's contracts, letters of continuation or letters of intent;
  - C. Job description(s);
  - D. Documents relating to position classification, grade, and length of time in position;
  - E. Documents relating to promotions, reclassifications, or transfers;
  - F. Documents pertaining to salary recommendations;

- G. Documents pertaining to the employee's professional activities and accomplishments;
- H. Performance evaluations and reviews;
- I. Information pertaining to extended leaves;
- J. Disciplinary records; and
- K. Information pertaining to separation from employment.
- Section 9. Grievance files: Only the final written resolution, if any, of any grievance pursuant to Article VIII filed by an employee shall be included within the Personnel File.
- Section 10. If an employee disputes the accuracy, relevance, timeliness, or completeness of the information contained in her/his Personnel File, he/she may request the Vice President of HRD to investigate the current status of the information. Such request shall be in writing and shall contain a concise statement as to why the employee believes such information to be inaccurate, irrelevant, untimely or incomplete. Within sixty (60) days, the Vice President shall undertake such investigation and shall notify the employee of the results of the investigation and the actions, if any, the University plans to take with respect to the disputed information. The Vice President shall delete any information that cannot be verified or is found to be inaccurate.
- Section 11. Other files: Nothing in this policy precludes the maintenance and retention of individual information dealing with routine matters such as payroll records, fringe benefits, worker's compensation records, medical information, leaves of absence, I-9, and citizenship status records.

#### **ARTICLE XII**

# LABOR/MANAGEMENT COMMITTEE

- Section 1. A Labor/Management Committee shall be established to meet for a maximum of two (2) hours, on a monthly basis during the first year of the Agreement to discuss matters of mutual concern. After the first year, the meetings will be held every other month for one and one half (1 ½) hours unless otherwise mutually agreed. The parties shall mutually agree upon the time, date, and place of the meetings. At any time the parties may mutually agree that there is no need for the committee to meet or conversely that more frequent meetings are necessary.
- Section 2. The Committee shall consist of not more than three (3) representatives appointed by the Union and three (3) representatives appointed by the University. By mutual agreement, an additional University representative, as well as a Union representative, may participate in Committee meetings. Meetings shall be held during normal business hours, and all representatives who serve on the Committee shall do so without loss of pay or benefits.
- <u>Section 3.</u> In order to call a meeting, either party must submit a written agenda describing the topics of discussion. In the event the Committee reaches a majority decision, it shall make recommendation(s) to the Vice President for Human Resources Development and Labor

Relations or designee. Committee recommendations neither alter the provisions of this Agreement nor constitute continued negotiations on terms and conditions of employment.

#### ARTICLE XIII

# **PERFORMANCE EVALUATION**

Section 1. For years subsequent to calendar year 2000, an annual evaluation of each non-probationary employee shall take place prior to March 1<sup>st</sup> each year for the previous calendar year (January 1 – December 31) in order to provide performance feedback to the employee and to afford the employee the opportunity to ask questions and make suggestions concerning the employee's work or career development. If the employee's supervisor is unable to complete the evaluation by March 1<sup>st</sup>, the employee will be notified in writing; but in any event the evaluation will be completed no later than June 1. The evaluation may include a review of the employee's job description.

Section 2. Pursuant to current practice, the performance evaluation form shall be filled out by an employee's supervisor and the employee. The supervisor and the employee shall arrange to exchange concurrently the completed evaluation forms at least 24 hours before discussing the evaluation.

If an employee has been reassigned to a new supervisor within one month of the evaluation date, the new supervisor should consult with the previous supervisor in completing the evaluation if possible. If an employee receives approximately equal supervision from more than one person, those supervisors shall complete the evaluation together.

Section 3. The completed performance evaluation form will be discussed with the employee. At the time of the discussion, the employee shall have the opportunity to submit any supplemental materials that may aid the evaluation process. After being discussed with the employee, the evaluation shall be signed by both the supervisor and employee. The employee's signature will indicate only that the evaluation was received by and reviewed by the employee. A copy of the completed annual performance evaluation form will be furnished to the employee at the time the employee signs the form. The employee's signature does not indicate agreement with the content of the evaluation. The employee shall have the right to respond in writing to any written comments made on the employee's evaluation and to have those comments attached to the evaluation and included in the employee's official personnel file.

Section 4. The University will inform the Labor/Management Committee of the University's intention to review and revise the performance evaluation process and/or form prior to the beginning of such review process, and will provide the Labor/Management Committee with any information that is available about the process and/or form. The Labor/Management Committee may offer its recommendations for performance evaluation review and revision prior to the start of the University's review process. Upon completion of the review, the University will provide the Union with a copy of any final reports or recommendations prior to implementation.

# ARTICLE XIV

#### **JOB CLASSIFICATIONS**

#### Section 1. New Job Classification.

- A. The Union will be notified in writing of the creation of any new job classifications in the professional staff salary table no later than thirty (30) calendar days prior to posting or advertising for the position. The notice shall include a classification description. The University and the Union shall meet and discuss the inclusion and/or exclusion of such classification within the bargaining unit and, if within the unit, to assess the appropriate salary grade.
- B. If the new position is included in the bargaining unit and the University and the Union are unable to reach agreement on the appropriate salary grade within ten (10) University working days from the commencement of the discussions, the University shall establish a temporary rate and classification and will promptly notify the Union in writing. Thereafter, the Union can file a grievance to Step 3 of the grievance procedure. If the matter goes to arbitration, the Arbitrator shall have the authority to establish a new wage rate which shall be retroactive to the onset of the position, but only within the parameters of the current professional staff job classification system and market factors.

# Section 2. Official Job Description.

- A. No later than July 1, 2000, the University shall provide each employee and the Union a current copy of her/his official job description. Within fourteen (14) calendar days after receipt of the job description, an employee shall submit in writing any substantive disagreements about the job description to the employee's supervisor and a designated representative of the Union. After the Union collects the disputed items, it shall meet with the Vice President for Human Resources Development and Labor Relations or designee to review the disputed items.
- B. Once a job description has been issued to an employee upon the conclusion of the review process in Section 2.A. above, the University will consult with the employee prior to revising the description.
- C. The University will not, without the concurrence of the Union, add duties that are not related to the basic function and responsibility of a position. The Union will be notified in writing at least two weeks in advance of the proposed changes to a position.
- D. After July 1, 2000, within thirty (30) calendar days of an employee's initial appointment, transfer, promotion or reclassification, the Vice President for Human Resources Development and Labor Relations shall provide an official job description to that employee.
- E. Revisions in the duties of a position may result in the assignment of a position to a higher pay grade.

#### Section 3. Reclassification.

- A. A request for reclassification may be submitted to the Department of Human Resources Development and Labor Relations by an employee, the Union, and/or a supervisor/department head. An employee shall submit a completed Position Description Questionnaire with the request. Such a request must be submitted during the months of May and November only. (For calendar year 2000 only, such a request must be submitted during the months of August and December.) Copies of all requests shall be provided to the Union by the Department of Human Resources Development and Labor Relations upon receipt. The request shall be signed by the employee's supervisor indicating it has been reviewed. If the supervisor refuses to sign, the employee may forward the request to the Department of Human Resources noting that the supervisor refused to sign.
  - B. A job audit and/or other investigation may also be conducted.
- C. The criterion upon which reclassification will be based will be job content. For example, changes in job duties, increases in job responsibilities and the restructuring of a position's duties shall be examined to decide if a position should be reclassified.
- D. The Department of Human Resources Development and Labor Relations will issue a written decision on the request within ninety (90) calendar days of receipt of the request, including a rationale for the decision. If the party who submits the request does not agree with the decision, the party may bring its case to a Union/Management Reclassification Review Committee, which shall consist of two (2) Union and two (2) Management appointees. This Committee shall review the reclassification and make its decision within thirty (30) calendar days after the receipt of the appeal and shall notify the employee of its decision and its rationale.
- E. If the Union/Management Reclassification Review Committee is unable to reach a decision by a majority vote, the request shall be considered denied.
- F. The same position may be submitted for review no more than once in any twelve (12) month period by the same incumbent. This twelve (12) month period begins with the first submission of a request.

#### Section 4. Job Classification System Review.

- A. The Labor/Management Committee shall conduct a full-scale review of the current Professional Staff Classification Program and the classifications of bargaining unit positions, and shall recommend possible changes to the current system or possible alternatives to the current system. The Labor/Management Committee will be provided with copies of all materials necessary to conduct the review. The Labor/Management Committee may also seek input from District 925 bargaining unit employees and non-District 925 employees of the University.
- B. The recommendations of the Labor/Management Committee shall be submitted to the Administration and the Union Executive Board six (6) months prior to the expiration of the contract. The recommendations of the Labor/Management Committee are advisory in nature and are subject to negotiations between the University and the Union.

<u>Section 5.</u> The University agrees to notify the Union in writing and to solicit Union input when hiring consultants for job classification and reclassification projects that impact the bargaining unit.

#### ARTICLE XV

#### **HOURS OF WORK**

- Section 1. The University recognizes that professional staff who are assigned to work more than forty (40) hours or more than five (5) eight hour days in a normal work week shall be entitled either to additional time off or to have their work schedules adjusted, or both, to compensate such employees for such additional hours or days worked. This entitlement shall also apply to salaried part-time professional staff who are assigned to work additional hours beyond their normal schedule.
- Section 2. Within sixty (60) days after ratification of this Agreement, each University department or college will submit to the Vice President for Human Resources Development and Labor Relations a statement covering:
- A. Procedure for recording the time worked beyond forty (40) hours per week by professional staff;
- B. Procedure for affording compensatory time to professional staff who are assigned to work more than forty (40) hours or more than five (5) eight hour days in a normal work week; and
- C. Where appropriate and feasible, a procedure for adjustment of professional staff work schedules to accommodate those employees who customarily work more than forty (40) hours in a normal work week.
- Section 3. Adjustment of work schedules under this Article shall not be considered unless:
- A. The affected employee customarily works more than forty (40) hours, or more than five (5) eight hour days in a normal work week; and
- B. The department or college can reasonably accommodate flexible work schedules without interference or disruption of normal operations.
- C. Where a professional staff member's work schedule does not comply with subsection A above, nothing shall preclude an informal arrangement between the employee and supervisor for a flexible work schedule.
- <u>Section 4.</u> Professional staff who work less than forty (40) hours in any work week shall not have their salary docked; but their accumulated compensatory time, if any, may be docked accordingly.

#### Section 5.

- A. Compensatory time under this Article shall be accumulated on an "hour for hour" basis in increments of .5 hours.
- B. Any compensatory time accumulated under this Article must be used within one (1) year of the week it is earned or the time will be forfeited.
- C. Any compensatory time accumulated and maintained in official University records prior to the effective date of this Agreement, April 3, 2000, must be used within two (2) years thereafter or the time will be forfeited.
- D. The use of compensatory time shall be scheduled by mutual agreement between the employee and supervisor, with the supervisor's assent not being unreasonably withheld. However, compensatory time off shall not interfere with or impede normal departmental operations.
- Section 6. Lunch Break. Employees are entitled to take a lunch break during their workday consistent with the operational needs of the department.
- Section 7. Schedule Changes. In the event it becomes necessary to change a regular work schedule, the Union will be notified at least two (2) weeks in advance of the change except in emergencies.

#### ARTICLE XVI

#### **SALARY**

- Section 1. Retroactive to July 1, 1998, employees with a continuous employment date on or before March 31, 1998 shall receive a 3% across-the-board base salary increase.
- A. The salary range for each salary grade effective October 1, 1996, as shown in Appendix A, shall be moved upward by 3% as shown in Appendix B, and employees whose salaries are below the new range shall have their salaries adjusted to the minimum of the appropriate salary grade.
- B. Employees whose salaries exceed the maximum of their grade (redlined) shall receive their 3% increase in the form of a lump sum payment. If a portion of their increase is above the maximum of their salary grade, that portion shall be paid in a lump sum.
- C. Employees with a continuous employment date on or before March 31, 1998, shall receive a 1% lump sum payment.
- Section 2. Retroactive to July 1, 1999, employees with a continuous employment date on or before March 31, 1999, shall receive a 3% across-the-board base salary increase.

- A. The salary range for each salary grade shall be moved upward by 3% as shown in Appendix C, and employees whose salaries are below the new range shall have their salaries adjusted to the minimum of the appropriate salary grade.
- B. Employees whose salaries exceed the maximum of their grade (redlined) shall receive their 3% increase in the form of a lump sum payment. If a portion of their increase is above the maximum of their salary grade, that portion shall be paid in a lump sum.
- C. Employees with a continuous employment date on or before March 31, 1999, shall receive seniority credit. The following amounts shall be paid in a lump sum to the employees with the following years of service:

6 through 10 years of service	1% lump sum bonus
11 through 15 years of service	1.5% lump sum bonus
16 through 20 years of service	2% lump sum bonus
21 or more years of service	2.5% lump sum bonus

- <u>Section 3.</u> Effective July 1, 2000, employees with a continuous employment date on or before March 31, 2000, shall receive a 3.5% across-the-board base salary increase.
- A. The salary range for each salary grade shall be moved upward by 3.5% as shown in Appendix D, and employees whose salaries are below the new range shall have their salaries adjusted to the minimum of the appropriate salary grade.
- B. Employees whose salaries exceed the maximum of their grade (redlined) shall receive their 3.5% increase in the form of a lump sum payment. If a portion of their increase is above the maximum of their salary grade, that portion shall be paid in a lump sum.
- Section 4. Information Services and Technology Positions. Effective November 1, 1999, the University shall establish a separate salary scale for the technology positions in the Information Services and Technology (IS & T) Department, as shown in Appendix E. Such employees shall be placed on the IS & T scale in the appropriate salary grade and shall receive all the salary entitlements of this Agreement. Effective July 1, 2000, the IS & T salary scale will be adjusted as shown in Appendix F.
- Section 5. <u>Lateral Changes in Position.</u> An employee who transfers from one position to another position in the same salary grade shall suffer no loss in pay.

#### Section 6. Pay upon Promotion or Reclassification.

- A. A promotion constitutes advancement to a job with greater duties and responsibilities in a higher salary grade. An employee who moves to a position in a higher salary grade by virtue of promotion, reclassification or other means shall receive an increase of up to 10% in base pay but not less than the minimum of the new salary range, whichever is greater. The move to a higher salary grade will not affect the employee's entitlement to any other increases in compensation that may be applicable.
- B. An employee promoted to a position in a higher salary grade will receive the salary adjustment effective on the first day in the new position. An employee who is reclassified

will receive the salary adjustment at the beginning of the calendar year or fiscal year following the reclassification submission to the Department of Human Resources Development and Labor Relations ("HRD").

- Section 7. If an employee is demoted or applies for and receives a position in a salary grade lower than the one currently held, and if the employee's current salary is above the maximum of the lower position's salary range, then the employee's salary will be reduced to the maximum of the lower salary grade's range, effective on the first day in the position. Otherwise, the employee's salary will remain the same. The move to a lower salary grade will not affect the employee's entitlement to any other increases in compensation that may be applicable.
- Section 8. Market Adjustments. In order to maintain market competitiveness or to aid in the recruitment or retention of employees, it may be necessary for the University to adjust salaries. Such adjustments will be based on survey data or other facts documenting the threat to retention or inability to recruit at current salary levels. Both the University and the Union may propose such adjustments in accordance with O.R.C. §4117.
- Section 9. Equity Adjustments. An equity adjustment is a special salary action to correct an inequity that cannot be corrected within the normal salary guidelines. This adjustment is used to react to sudden shifts in the competitive market where the hiring rate of a new candidate may cause an internal inequity. Consequently, an adjustment may be applied to individual bargaining unit members or a specific classification affected by the inequity. The University, prior to initiating any action under this section, shall provide the Union with supporting documentation for proposed equity adjustments and shall satisfy its obligations under O.R.C. §4117.
- <u>Section 10.</u> <u>Librarians.</u> The University Librarians shall remain unclassified and shall receive all the salary entitlements of this Agreement.
- A. Within sixty (60) calendar days of the ratification of this Agreement, a joint committee composed of four (4) members appointed by the University and four (4) members appointed by the Union shall convene to develop promotion criteria and procedures for the University Librarians and the Law Librarians. The Committee shall forward its recommendations to the Vice President for Human Resources Development and Labor Relations and the Union for approval and implementation no later than October 1, 2000.
- B. If the recommendations are not approved by either party, then the parties shall meet to negotiate the outstanding issues. If the parties cannot reach agreement, the issues shall be remanded to the next contract negotiations.
- C. In the interim, the University Librarians may apply for promotions in accordance with the current University Library Personnel Policies, Section 8.7.2.
- Section 11. The University shall notify employees of all applicable changes in wages, including retroactive pay, by year.

#### ARTICLE XVII

#### **INSURANCE**

<u>Section 1.</u> The University shall offer full-time employees ("employees") with appointments of six (6) months or longer all health, life and disability benefits that meet or exceed those coverages in effect as of July 1, 1999.

#### Section 2.

- A. For the term of this Agreement, the University shall pay the entire cost of the Kaiser Health Care Plan. Employees selecting SuperMed Select Traditional shall be responsible for the level of the employee contributions in effect on June 30, 1995, plus one-half (½) of all increases in premium rates after June 30, 1995. Employees selecting SuperMed Select Value shall pay the difference between the University's payments to the SuperMed Select Traditional and the actual premium cost of the SuperMed Select Value plan effective July 1, 1998 through June 30, 1999, and one-half (½) of all increases in the SuperMed Select Value plan premium rates after June 30, 1999.
  - B. The University shall pay the full premium cost of the dental benefits.
- C. The University shall pay the full premium cost of the "Basic" vision plan. For the "Opt-Up" vision plan, full-time employees shall pay the difference between the premium cost of the "Opt-Up" plan and the "Basic" plan.
- Section 3. Full-time employees who elect to waive medical coverage shall receive an annual payment of \$700 within thirty (30) calendar days of the end of the plan year. The employee must provide proof of coverage from another source. The election must be made in writing to the Director of Benefits during the annual open enrollment period. An employee who elects no medical insurance coverage subsequently may elect to participate in the insurance plan during that year, subject to the rules of the carrier, if the employee has had a change in status as defined by Section 125 of the Internal Revenue Code. Upon election to participate in coverage, the employee waives eligibility for the annual cash payment.
- Section 4. Life Insurance. The University shall provide employees basic group term life insurance coverage equal to one and one-half (1-½) times base annual salary, subject to a minimum coverage level of \$10,000 and a maximum coverage level of \$50,000, and an equal amount of Accidental Death and Dismemberment insurance. All benefit provisions are subject to the terms and conditions set forth in the Plan Certificates. The University will provide the option for employees to purchase, on an after-tax basis, voluntary group term life insurance up to an additional \$300,000 for themselves, up to \$150,000 for their spouses, and \$5,000 dependent life insurance for their eligible dependent children. All benefit provisions are subject to the terms and conditions set forth in the Plan Certificates.
- Section 5. Flexible Spending Accounts (FSA). The University will make available to employees Medical and Dependent Care Flexible Dependent Care Spending Accounts which allow employees to set aside funds on a pre-tax basis to pay eligible unreimbursed medical and dependent care expenses during the plan year. The maximum allowable FSA per annum is

\$5,000 for the Medical Reimbursement Plan and \$5,000 for the Dependent Care Reimbursement Plan.

- Section 6. <u>Disability Insurance.</u> The University shall continue to provide a disability insurance plan fully paid by the University. After a 90-day waiting period, the plan pays up to 60% of an employee's base salary, up to a maximum of \$5,000 per month, if the employee is unable to work due to injury or illness. These benefits are reduced by any amount received from PERS, STRS and Social Security. The plan also provides deposits to a retirement annuity.
- Section 7. Employee Assistance Plan. The University shall continue to provide an employee assistance plan fully paid by the University and shall make it available to all employees and their household members.

#### ARTICLE XVIII

#### **PART-TIME EMPLOYEES**

# Section 1. Benefits Eligibility.

- A. Part-time employees may enroll in the following benefit plans:
  - 1. Single and Family Health Care Coverage (HMO Plan only)
  - 2. Medical Flexible Spending Account
  - 3. Dependent Care Assistance Plan
  - 4. Voluntary Group Life Insurance
- B. Part-time employees who choose to enroll in these plans will pay the full cost for their participation.
- Section 2. Employee Assistance Plan. The University shall provide an employee assistance plan fully paid by the University and shall make it available to all part-time employees and their household members.
- Section 3. Definition of Part-time Employee for Benefits Eligibility. For purposes of this Article, a part-time employee is defined as an employee with an appointment of six months or longer in a permanent or funds available position who regularly works twenty (20) or more hours per week but less than forty (40) hours per week.

#### ARTICLE XIX

#### PAY DAYS, PAYCHECKS, AND PAYROLL PROCEDURES

Section 1. Pay Frequency. Employees on a nine-month academic year may elect to be paid in twenty-four (24) or eighteen (18) equal installments. Employees on a ten-month academic year may elect to be paid in twenty-four (24) or twenty (20) equal installments. Twelve (12) month employees are paid in twenty-four (24) equal installments. Paychecks shall be deposited directly into an employee's bank or credit union account. The University reserves

the right to change the current payroll cycle to a bi-weekly process, and shall inform the Union, in writing, at least thirty (30) calendar days prior to such change.

Section 2. Voluntary 403(b) Plans. Employees may participate in a tax-sheltered annuity option plan by authorizing the University to make a payroll deduction from each participating employee's paycheck and to make the payment to the registered annuity carrier selected by the employee. Employees may select any of the registered qualified companies offering these plans and may change companies at their own discretion, subject to the rules and regulations of the carriers, the University and the Internal Revenue Code. The University will select and retain annuity carriers based on employee participation.

Section 3. Retirement Plan Participation. All employees of the University come under the provisions of the Public Employee Retirement System (PERS) or the State Teachers' Retirement System (STRS). The University and the Union agree to abide by any and all rules and regulations now in effect or subsequently enacted by PERS or STRS. The University shall pick up the PERS or STRS contribution for each employee on a pre-tax basis. Eligible employees may elect an alternate retirement plan (ARP) in accordance with the Ohio Revised Code.

Section 4. Purchase of Past Service Credit (PERS/STRS). Employees may request the University to purchase eligible past service credit through payroll deduction for STRS or PERS on either an after-tax or pre-tax basis, according to the employee's election, and subject to the rules of STRS or PERS and the Internal Revenue Code.

#### ARTICLE XX

# **HOLIDAY OBSERVANCE**

Section 1. Holidays. Employees are entitled to ten (10) paid holidays. They are:

New Year's Day Martin Luther King Day

Presidents' Day
Independence Day
Columbus Day
Thanksgiving Day

Memorial Day
Labor Day
Veterans' Day
Christmas Day

The Administration may establish alternative days of observance for the following holidays:

Martin Luther King Day Presidents' Day Columbus Day Veterans' Day

Section 2. Payment. Holiday pay is defined as straight time payment for a holiday whether worked or not. Holiday pay is included in calculations of active pay status. All full-time employees shall receive eight (8) hours of holiday pay for the holidays in Section 1 above. Pay shall be prorated for part-time employees. Part-time employees shall be paid for the number of hours they would normally be scheduled to work on the holiday.

Section 3. Employees who are required to report to work on holidays will be paid at the rate of one and one half  $(1 \frac{1}{2})$  times the regular rate of pay for all hours worked in addition to the holiday pay.

#### ARTICLE XXI

#### VACATION LEAVE

Section 1. <u>Vacation Leave.</u> Full-time employees earn vacation leave at the rate of 1.83 days a month or a total of twenty-two (22) work days per year, prorated for the nine month or ten month academic year. Employees appointed to a part-time position of one-half time or more will earn vacation leave on a prorated basis.

Full-time employees on a nine month or ten month academic year who are issued a supplemental summer contract are not required to use vacation during the summer semester.

Section 2. Scheduling. Vacation leave shall be available to employees to the extent earned. Employees shall schedule their vacations after consultation with and approval by their supervisor. Whenever possible, vacation shall be scheduled at the preference of the employee.

Section 3. Holidays. When a holiday occurs during vacation leave, employees receive holiday pay in accordance with Article XX, (Holiday Observance), for the holiday; the pay is not charged to vacation leave.

Section 4. Maximum Accumulation. Vacation leave accumulation may not exceed 44 days at any time within a fiscal year. On June 30 each year, employees may carry over a maximum of thirty (30) days (240 hours) of accumulated vacation leave to the next fiscal year. The Vice President for Human Resources Development and Labor Relations or designee may grant exceptions to the vacation leave carryover limitation at his/her discretion.

Upon termination of employment, employees are entitled to pay for up to a maximum of twenty-two days.

Section 5. Notice. The University will continue to provide a statement of an employee's accumulated vacation.

Section 6. Professional Staff Instructors. Effective July 1, 2000, full-time professional staff instructors who are academic year employees will not be eligible to earn and accrue vacation leave. Such employees are expected to be in attendance during the academic year to perform teaching and appropriate academic duties in accord with established requirements of the University.

The affected professional staff instructors with accrued vacation leave are encouraged to use such leave prior to July 1, 2000. At that time, those employees with earned but unused vacation leave shall be compensated for all unused vacation leave at the employee's rate of pay on June 30, 2000.

#### ARTICLE XXII

#### PAID LEAVES

Section 1. Sick Leave. Sick leave with pay is a benefit available during absence due to personal illness; injury; medical confinement or disability; medical, dental or optical examinations; for the illness of or medical attention for a member of the immediate family; to cover a period of quarantine required by exposure to a contagious disease; or for the death of a member of the immediate family of the employee. For purposes of this Article, immediate family includes mother, father, brother, sister, spouse, daughter, son, mother-in-law, father-in-law, brother-in-law, sister-in-law, daughter-in-law, son-in-law, grandparent, grandchild, stepparents, step children, legal guardian, or other person who stands in place of a parent.

- A. Accrual Sick leave will accumulate at the rate of 1.25 days (ten hours) for each month of service, without limit, and including periods of vacation and sick and other paid leave. Sick leave accumulation is pro-rated for nine and ten month academic year appointments. Employees on less than full-time status shall receive sick leave credits proportionate to the percent of time or hours worked as compared to full-time employees.
- B. Notification and Certification. For planned absences, consistent with Section 1 of this Article, the employee must notify the appropriate supervisor and gain prior approval. For unanticipated absences consistent with Section 1 of this Article, sick leave with pay shall be granted, provided the employee has reported the absence to the office of the department chairperson or supervisor prior to the beginning of duty, or as soon as practicable, except in case of an emergency. Employees failing to comply with sick leave notification procedures or who abuse sick leave may be subject to discipline.

The University reserves the right to require medical certification in cases of planned or unanticipated absence and prolonged or repeated absence. The University ordinarily will not require such a certificate in the case of illness or injury of less than three (3) days' duration. The University may also require medical certification as evidence of the employee's fitness to return to work.

- C. <u>Transfers/Other Public Service</u>. If an employee transfers from one department of the University to another, from the University to another Ohio Public Agency, or from another State of Ohio Agency to Cleveland State University, accumulated sick leave credits will transfer insofar as allowed by law. Proof of sick leave credits must be furnished to the University in writing by the former employer of the employee transferring credits from another State of Ohio employer.
- D. <u>Coordination with Holidays.</u> When a holiday occurs during a period of paid sick leave, the employee receives regular holiday pay; the time is not charged to sick leave.
- E. <u>Exhaustion of Sick Leave Balance.</u> If an absence is due to sickness and extends beyond accumulated sick leave, the employee may request vacation, with the supervisor's approval. Leave without compensation may be requested in accordance with Article XXIII (Unpaid Leaves).

- F. <u>Disability</u>. If the disability due to sickness extends beyond three (3) months, eligible employees may apply for disability benefits.
- G. <u>Sick Leave Prior to Agreement</u>. All unused sick leave accumulated prior to the effective date of this Agreement shall be available for use by the employee.
- H. <u>Balance Tracking</u>. The University will continue to provide a statement of accumulated sick leave.
- I. <u>Recordkeeping.</u> The University shall be responsible for establishing and maintaining procedures for recording the use of sick leave.
- Section 2. Sick Leave Bank (SLB). A sick leave bank (SLB) shall be provided for employees. The purpose of the SLB is to provide additional sick leave to members of the SLB who have exhausted accumulated sick leave and otherwise qualify for it. The Administration shall maintain the SLB for employees who elect to join.
- A. A full-time employee who elects to join the SLB must have accumulated at least eighty (80.0) hours of sick leave. A part-time employee must have accumulated an amount proportionate to the percent of time or hours worked in a two-week period as compared to a full-time employee. A full-time employee joins the SLB by directing, in writing, the contribution of ten (10.0) hours of the member's accumulated sick leave into the SLB. A part-time employee joins the SLB by directing, in writing, the contribution of an amount proportionate to the percent of time or hours worked as compared to a full-time employee. Membership in the SLB continues until the employee leaves the university or until there is a call for SLB donations and the employee does not properly respond to the call. Sick leave time donated to the SLB is not refundable.
- B. Employees who become bargaining unit members after this Agreement is ratified shall be notified of the existence of the SLB and shall be provided the opportunity to become members during the first June enrollment period after becoming qualified for membership.
- C. Qualified employees may enroll into the Sick Leave Bank during June of any calendar year.
- D. When the time available in the SLB becomes less than five (5) hours times the number of SLB members, a donation of an additional five (5.0) hours of sick leave shall be called for from all SLB members who have at least eighty (80.0) hours of accumulated sick leave (prorated for part-time employees). SLB members who have fewer than eighty (80.0) hours of accumulated sick leave (prorated for part-time employees) shall be exempt from this call for donations. A member who has at least eighty (80.0) hours of accumulated sick leave (prorated for part-time employees) and who does not make a called-for donation shall cease membership in the SLB.
- E. Any member who has fewer than eighty (80.0) hours of accumulated sick leave (prorated for part-time employees) and who anticipates the need for more sick leave than he/she has available may submit a request to the SLB committee for the transfer of sick leave time from the SLB to the member. The request shall be reviewed by the SLB committee and the

committee's decision, by majority vote, shall be binding. Decisions by the SLB committee may not be grieved.

- F. The SLB committee shall consist of three (3) representatives appointed by the Union and one representative each from the Office of the Provost and Senior Vice President and the Office of Human Resources Development and Labor Relations.
- G. The maximum length of time available to any member from the SLB in response to an application is one hundred and sixty (160) hours (prorated for part-time employees). Additional extensions may be made if the sick leave time transferred in response to an application proves to be insufficient up to a total of three hundred and twenty (320) hours (prorated for part-time employees) in a twelve (12) month period. At no time may the SLB committee transfer more sick leave time from the SLB than is available in the bank.
- H. Time from the SLB may be granted because of absence due to non-chronic conditions, catastrophic accident or illness, and primary caretaking for a member of the immediate family. Conditions caused by incidents covered by workers' compensation are not eligible for SLB time.
- I. Employees applying for time from the SLB must also apply for disability benefits, if eligible.
- Section 3. Annual Military Reserve Duty. Employees who participate in military reserve programs are entitled to leaves of absence without loss of pay for a period or periods not to exceed thirty-one (31) days per calendar year.
- Section 4. Judicial Leave. Leave with pay is granted when an employee is called for jury duty or is subpoenaed to testify as a witness before any court or governmental hearing body. Service as an expert witness shall be considered to be in the same category as outside employment and shall be subject to the limitations specified in Article XXXIV (Consulting and Extramural Employment).

#### ARTICLE XXIII

### **UNPAID LEAVES**

Section 1. Special Leave. Employees may apply for and may be granted a leave of absence without pay for such reasons as study, research, professional employment, vacation, or other personal or professional reasons not specifically covered by the Agreement. The employee shall continue to accrue seniority while on a special leave of absence.

# Section 2.

A. Medical Leave. An employee who is injured, physically incapacitated, or unable to work for other reasons of a medical nature may apply for and shall be granted an unpaid medical leave of absence. The duration of such leave shall be a maximum of six (6) months after an employee has exhausted all her/his accumulated paid sick leave. Any FMLA leave that an employee is granted shall run concurrently with a medical leave of absence.

Additional medical leave may be granted at the discretion of the University. The University may require proof of illness or injury. The employee shall continue to accrue seniority while on medical leave.

- B. <u>Disability Leave</u>. An employee who has exhausted her/his accumulated sick leave, may, if physically incapacitated for the duties of her/his position, request a disability leave for a period not exceeding three (3) years, provided the employee meets the medical standards for disability as defined by PERS, STRS and/or Social Security. The University may require medical verification of the disability. The employee shall continue to accrue seniority while on disability leave.
- C. Physician Examination. When requested, the employee must submit a satisfactory physician's statement prior to being granted a medical or disability leave, except in cases of emergency. The University may also require medical certification as evidence of fitness to return to work. The University reserves the right to require a second opinion by a doctor of the University's choice who has expertise in diagnosis of and treatment of the employee's disability as to the necessity of the requested leave of absence. The second opinion shall be at the University's expense. If there is a conflict between the doctors' opinions, the selected doctors shall appoint a third doctor acceptable to both whose opinion as to the need for a leave shall control. The expenses of the third doctor shall be borne equally by the employee and the University.
- D. In addition to FMLA leave, where applicable, employees may apply for and may be granted an unpaid parental leave of absence for childbirth or adoption; or a serious illness or long term personal emergency of the employee's child; or for the serious illness of an immediate family member as defined in Article XXII (Paid Leaves). Leave of absence requests for illness in the immediate family are subject to medical verification.
- Section 3. Family and Medical Leave Act (FMLA). The University shall meet and comply with the requirements of the Family and Medical Leave Act of 1993 ("FMLA") and regulations issued pertaining thereto. The University retains the right to implement regulations and/or technical corrections as necessary.
- A. Nothing in this collective bargaining agreement shall be construed as limiting an employee's eligibility for or entitlement to leave pursuant to the Family and Medical Leave Act of 1993. Nothing in the FMLA and regulations issued pertaining thereto shall reduce or eliminate the benefits provided by the terms of this Agreement.

#### B. Definitions.

Eligible Employee: Employees who have been employed at the University for at least twelve (12) months, and for at least 1,250 hours in the previous twelve (12) months.

Child Care Leave: Leave of Absence necessitated by placement of a son or daughter with the Eligible Employee for adoption or foster care for a maximum of twelve (12) weeks. Leave must be taken within twelve (12) months of the event. Leave may be taken intermittently or on a reduced schedule with the permission of the University.

Family Medical Leave: Leave of absence necessitated by care of the Eligible Employee's spouse, son, daughter, or parent with a serious health condition. Leave may be taken intermittently or on a reduced schedule only if the schedule is needed for medical reasons. A health care provider's statement is required and must contain certification of the family member's condition, the necessity of the intermittent or reduced schedule, that the Eligible Employee is needed to care for the family member, and an estimate of the amount of Leave time needed.

Leave: A Child Care, Family Medical, Medical, or Parental/Child Care Leave of Absence provided by this Family and Medical Leave Policy which will be unpaid except to the extent accrued sick time and/or accrued vacation time must be used by the Eligible Employee in accordance with this policy.

Medical Leave: Leave of absence necessitated by a serious health condition that makes the Eligible Employee unable to perform the functions of the position. Leave may be taken intermittently or on a reduced schedule only if the schedule is needed for medical reasons. A health care provider's statement is required and must contain certification of the employee's condition, the necessity of the intermittent or reduced schedule, that the Eligible Employee is unable to perform the functions of his or her job, and an estimate of the amount of Leave time needed.

Parent: The biological parent of an employee or an individual who stood in place of a parent to an employee when the employee was a son or daughter.

<u>Parental/Child Care Leave</u>: The medically verified Leave of Absence needed for birth and for the care of the son or daughter immediately following birth for a maximum of twelve (12) weeks. Leave must be taken within twelve (12) months of the birth. Leave may be taken intermittently or on a reduced schedule with the permission of the University.

<u>Serious Health Conditions:</u> An illness, injury, impairment, or physical or mental condition that involves inpatient care in a hospital, hospice, or residential medical care facility; or continuing treatment by a health care provider.

Son or Daughter: A biological, adopted, or foster child, a stepchild, a legal ward, or a child of a person standing in place of a parent, who is under 18 years of age, or is 18 years of age or older and incapable of self-care because of a mental or physical disability.

Spouse: A husband or wife under the law of the State of Ohio.

#### C. An Eligible Employee is entitled to:

1. Twelve (12) weeks of Leave in a 12-month period under certain circumstances. Except to the extent that accrued sick time and vacation time must be used as described herein or the employee elects to use compensatory time, the Leave is unpaid. During unpaid Leave, the University will not contribute to retirement plans. While full-time Eligible Employees are entitled to twelve (12) workweeks of Leave (60 working days), part-time Eligible Employees are entitled to a prorated number of days equal to their part-time schedule, e.g., an Eligible Employee who works three (3) days/week is entitled to thirty-six (36) Leave days. Unused Leave cannot be carried over from year to year.

The 12-month period of FMLA Leave is considered a "rolling year" in which the effective date of the Leave triggers the beginning of the 12-month period. For instance, if an Eligible Employee takes twelve (12) weeks of Leave beginning on March 1, more Leave would be available beginning the following March 1.

2. Take the Leave all at once or, if medically necessary, intermittently or on a reduced schedule. Leaves described below in Sections C.3.a and C.3.b shall not be taken by an Eligible Employee intermittently or on a reduced Leave schedule without the written consent of the Department Head or Dean, the Department of Human Resources Development and Labor Relations, and the appropriate Vice President or Provost.

# 3. Leave due to the following:

a. Birth of a son or daughter of the Eligible Employee and to care for the son or daughter (Parental/Child Care Leave). Leave must be taken within twelve (12) months of the birth. Medical documentation is required.

An Eligible Employee is required to use all accrued vacation time for all or any part of the 12-week period of such Leave. Accrued sick time may not be used.

For Leave due to the Medical condition of the mother, see Section C.3.d below (Medical Leave).

b. Placement of a son or daughter with an Eligible Employee for adoption or foster care (Child Care Leave). Leave must be taken within twelve (12) months of the placement. Legal documentation is required.

An Eligible Employee is required to use accrued vacation time for all or any part of the 12-week period of such Leave. Accrued sick time may not be used.

c. Care of an Eligible Employee's spouse, son, daughter, or parent with a serious health condition (Family Medical Leave). Leave may be taken intermittently or on a reduced schedule only if the schedule is needed for medical reasons.

A health care provider's statement is required and must contain certification of the employee's or the family member's condition, the necessity of the intermittent or reduced schedule (if applicable), that the Eligible Employee is needed to care for the family member, and an estimate of the amount of Leave time needed. If the University doubts the validity of the certification, it may require, at its own expense, the opinion of a second health care provider designated or approved by the University.

An Eligible Employee is required to use all accrued sick time and accrued vacation time for all or any part of the 12-week period of such Leave.

d. A serious health condition that makes the Eligible Employee unable to perform the functions of the position (Medical Leave). Leave may be taken intermittently or on a reduced schedule only if the schedule is needed for medical reasons.

A health care provider's statement is required and must contain certification of the Eligible Employee's condition, the necessity of the intermittent or reduced schedule (if applicable), the inability of the Eligible Employee to perform the functions of his or her job, and an estimate of the amount of Leave time needed. If the University doubts the validity of the certification, it may require, at its own expense, the opinion of a second health care provider designated or approved by the University.

An Eligible Employee is required to use all accrued sick time and accrued vacation time for all or any part of the 12-week period of such Leave.

- D. Resolution of Conflicting Opinions. In any case in which the second opinion described in Sections C.3.c and C.3.d above differs from the original medical certification provided by the Eligible Employee, the University may require, at its own expense, that the Eligible Employee obtain a third medical opinion from a health care provider approved by both the Eligible Employee and the University. That third opinion shall be final and binding on both the Eligible Employee and the University.
- E. <u>Foreseeable Leave with Timely Notice.</u> Eligible Employees generally must give at least thirty (30) days notice of intention to take Leave when the precipitating event is foreseeable such as a birth, adoption, or planned medical treatment.

Eligible Employees are required to make a reasonable effort to schedule a foreseeable Leave so as not to disrupt unduly the operations of the University.

- F. <u>Foreseeable Intermittent Leave or Leave on a Reduced Schedule.</u> If an Eligible Employee requests an Intermittent Leave or a Leave on a reduced schedule under Sections C.3.c and C.3.d above, the University may require the Eligible Employee to transfer temporarily to an available alternative position with equivalent pay and benefits that better accommodates recurring periods of Leave.
- G. <u>Leave for Husbands and Wives Who Are Both Eligible Employees.</u> Spouses who are both employed by Cleveland State University are eligible for a combined total of twelve (12) workweeks of Leave (for birth, placement, or to care for a single sick parent) which they can split between them. However, each spouse is entitled to twelve (12) full workweeks of Leave for his or her own illness or to care for a sick child or spouse.
- H. <u>Maintenance of Health Benefits</u>. The University will continue coverage under the Eligible Employee's group health plan(s) (medical, dental, vision, medical reimbursement account) for the duration of the twelve (12) workweeks at the level and under the conditions coverage would have been provided if the Eligible Employee had continued in employment continuously during the Leave.
- I. <u>Payment for Health Benefits.</u> The Eligible Employee must continue to pay the employee's share of the premium payments. If an Eligible Employee fails to remit his or her share of the premium payments during the Leave period, coverage will be terminated.

See Section K below for an Eligible Employee's responsibility for payment of the entire premium for failure to return from Leave.

J. Extending Approved Leave Beyond Twelve (12) Week Leave. Those Eligible Employees whose conditions or obligations persist beyond the 12-week Leave period may apply for leaves of absence according to this Article XXIII (Unpaid Leaves). The twelve (12) workweek FMLA Leave will be counted toward any total Extended Leave which is approved.

# K. Return From Leave.

- 1. An Eligible Employee who takes Leave shall be entitled upon return from such Leave:
- a. to be restored to the same position of employment held by the Eligible Employee when the Leave commenced; or
- b. to be restored to an equivalent position with equivalent benefits, pay, and other terms and conditions of employment.

If a substitute professional staff instructor(s) needs to be hired to cover an Eligible Employee's Leave, it will be within the University's discretion to not return the Eligible Employee to teach course(s) during an academic semester. Such Eligible Employee shall perform other duties as assigned in the interim.

If a layoff occurs during the period of Leave, an Eligible Employee on Leave shall receive the same rights, upon return to work, as other employees under this Agreement.

- 2. Eligible Employees will not be entitled to accrual of any employment benefits during the period of unpaid Leave.
- 3. If the Eligible Employee fails to return from Leave for reasons other than the continuation, recurrence, or onset of the serious health condition that gave rise to the leave or for circumstances beyond the Eligible Employee's control, the University may recover from the Eligible Employee the premiums paid by the University for continuously maintaining group health coverage.
- 4. The University may require certification from a health care provider that an Eligible Employee on a Medical Leave is able to return to work from such Medical Leave.
- L. All terms which are not defined in this Section 3 (Family and Medical Leave Act) shall have the same meaning as those terms are defined in the Family and Medical Leave Act.
- Section 4. Military Service Leave. The provisions of State and Federal Law shall prevail for all aspects of military leave, including request for and return from such leave.
- Section 5. Candidacy for Public Office. An employee may run for or accept public office under the following conditions:
- A. The employee shall in all cases submit a full statement of any proposed campaign activities and of the responsibilities of the office which may be assumed to the Vice President of Human Resources Development and Labor Relations.

B. When, in the judgment of the University, those activities and responsibilities will conflict with the professional obligations of the employee, a written application for reduced levels of employment and compensation or for special leave without pay shall be submitted to the Vice President of Human Resources Development and Labor Relations at least ninety (90) days before the assumption of said activities or responsibilities.

# Section 6. Union Leave.

- A. With the permission of the University, a leave of absence without pay and without loss of seniority or benefits will be granted where possible to those employees who are elected or selected by the Union to conduct Union business. Such permission will not be unreasonably withheld; however, the employee and/or the Union shall make the request at least forty-eight hours (48) prior to the date the leave is to commence. Every effort will be made by the Union to give as much advance notice as possible. It is understood that a maximum of ten (10) employees will be granted such leaves per year. Annually, up to ten (10) employees may be granted such a leave, and cumulatively such leaves shall not exceed thirty (30) working days per year; no such leave shall exceed five (5) consecutive work days. These leaves shall not interfere with the necessary operations of the University. Moreover, it is agreed that approved leaves taken by the Local Union President under this procedure shall not be limited to five (5) consecutive work days and shall not be considered for purposes of calculating the thirty (30) day limitation.
- B. An employee with at least one (1) year of service who accepts full-time assignment with the Union by election, appointment, or hire shall be granted a leave of absence without pay and not to exceed one (1) year for said assignment without loss of seniority. Upon application in writing, said leave may be extended for an equal period of time. Not more than one (1) employee may be on such a leave at any one (1) time.

# Section 7. General Provisions for Unpaid Leaves.

- A. All leaves without pay are granted at the discretion of the Vice President of the Department of Human Resources Development and Labor Relations, or designee. Applications for such leaves must be submitted to and approved by the employee's supervisor, unit head, and Vice President or Provost prior to being submitted to the Department of Human Resources Development and Labor Relations. Except as otherwise specified in this Article, requests for non-emergency leave should be submitted at least one (1) month prior to the beginning of the leave date.
- B. An unpaid leave of absence is not considered a break in seniority, and additional seniority shall continue to accrue. During an approved unpaid leave of absence, the employee will not accumulate vacation leave, sick leave, or holiday time. An employee may request an extension of any unpaid leave of absence in writing to her/his appropriate administrator.
- C. The employee shall notify the University of her/his expected date of return from an unpaid leave of absence without pay. Upon completion of such leave, the employee is to be returned to the position formerly occupied, or to an equivalent position if the employee's former position no longer exists, at the same rate of pay including any increases that would have accrued if the employee had been on the job. If a layoff occurs during the period of leave, the employee

on leave shall receive the same rights upon return to work as other employees under this Agreement.

- D. The University will continue to pay its share of all group insurance benefits consistent with the procedure set forth in this Agreement during an unpaid leave of absence such that said group coverages are continued through the end of the calendar month in which the employee last actually worked or was on paid leave status, whichever is later. Beginning with the first day of the calendar month immediately following the month in which the employee last actually worked or was on paid leave status, whichever is later, the employee shall be responsible for paying for insurance benefits consistent with the provisions of COBRA for such period of time as the employee is eligible for COBRA coverage.
- E. The University shall continue Basic Life Insurance coverage for an employee who becomes disabled according to the provisions of PERS, STRS, or Social Security for a period not to exceed three (3) years.

#### **ARTICLE XXIV**

### **EMERGENCY CLOSING**

- Section 1. When an emergency is declared by the President of the University or designee, pursuant to the University's emergency closing policy, employees in the affected part(s) of the University who are scheduled to work will receive their regular compensation for any straight-time hours they are not permitted to work due to such closing.
- Section 2. Employees in the affected part(s) of the University who are required to work when other employees are excused due to the declared emergency shall be compensated at straight time for all hours worked. In addition, such employees shall receive their regular compensation for the hours they are scheduled to work, not to exceed eight (8) hours.

#### ARTICLE XXV

# **SENIORITY**

- Section 1. Seniority for a full-time employee (including academic year employees) or part-time employee shall be that employee's uninterrupted length of continuous service from the original date of hire with the University. Following ratification of this Agreement, seniority for a part-time employee shall be prorated in accordance with full-time equivalency. Temporary employees in their current positions who become members of the bargaining unit after one year in those positions, in accordance with Article II (Recognition), shall be credited with seniority from the date of hire in the temporary positions.
- Section 2. Seniority shall be broken when an employee:
  - A. Quits or resigns;
  - B. Is discharged for just cause;

- C. Is laid off more than eighteen (18) months;
- D. Is absent without notice for three (3) consecutive work days unless failure to give notice is beyond the reasonable control of the employee;
- E. Fails to report for work when recalled from layoff within five (5) work days from the date on which the University delivers the employee notice via certified mail (to such employee's last known address as shown on the University's records) unless an employee requests within this five (5) day period additional time, up to ten (10) work days, to report. Employees are responsible for notifying the University of any change in their addresses.
- Section 3. Seniority will continue to accrue during time spent on an approved leave of absence or on a layoff/recall list.
- <u>Section 4.</u> An employee who resigns and is rehired not more than one year following the date of resignation shall retain seniority accrued prior to resignation but shall not accrue seniority between resignation and rehire.
- Section 5. Among those with the same length of continuous service, seniority shall be based on the date of hire. If the date of hire is the same, the flip of a coin shall be used to determine seniority.
- <u>Section 6.</u> Following ratification of this Agreement, time spent in non-bargaining unit supervisory/administrator positions shall not be credited for seniority purposes. Any employee who leaves the bargaining unit for such a position and who subsequently returns to a bargaining unit position shall have his or her previous bargaining unit seniority reinstated.
- Section 7. The President, Vice President, Secretary, and the Chief Steward of the Union shall be deemed the most senior employees in the bargaining unit during their terms of office.

#### ARTICLE XXVI

#### POSITION CHANGES AND POSTING

- Subject to the provisions of Article XXVII, when a bargaining unit position vacancy occurs or a new bargaining unit position is created and the University decides to fill that position, the University shall post a notice of the opening. The notice shall be posted for a minimum of ten (10) working days on the Human Resources Development and Labor Relations' four (4) job boards on campus:
  - A. Outside Fenn Tower, Room 201;
  - B. Near elevators, first floor, Rhodes Tower;
  - C. Across from elevators, second floor, University Center; and,
  - D. College of Urban Studies.

- Section 2. The University shall send a duplicate copy of the posting to the Union President. All postings will be dated. The posting shall specify the position's title, the department or work unit where the position is assigned, the pay grade assigned, the salary range, the minimum qualifications for the position, and a brief description of the job duties. Employees may obtain a position description by calling the Department of Human Resources Development and Labor Relations.
- Section 3. All application material timely filed will be reviewed by the University.
- Section 4. Bargaining unit position vacancies and new positions shall be awarded on the basis of qualifications. "Qualified" shall be defined as meeting all the necessary qualifications for the position to perform the required work. In determining whether an applicant is qualified, the University shall give consideration to ability, aptitude, skill, experience, qualifications as stated on the position vacancy notice, and such other criteria as it usually considers in filling any vacancy. The determination of qualifications is the responsibility of the University.

Insofar as it is consistent with the process described in the University's Affirmative Action Policy, the following procedure shall be adhered to.

- A. When a bargaining unit vacancy occurs or a new bargaining unit position is created, the affected department shall form a Search Committee to consider the vacancy.
- B. Bargaining unit employees shall be represented on Search Committees. Employees shall inform the department head of their interest in serving on a Search Committee.
- C. All application materials received by the Search Committee shall be reviewed by both the Search Committee and the Chair, and the Search Committee shall reach agreement on which candidates to interview.
- D. The Chair of the Search Committee shall forward the Committee's recommendation(s) to the appropriate administrator.
- E. If an employee applies for a posted position and is determined by the University to be the most qualified, the employee shall be awarded the position over outside applicants. Further, if more than one employee applies for a posted position and if their qualifications are determined by the University to be relatively equal, then the employee with the most seniority shall be awarded the position. The University's determination as to relative qualifications shall be conclusive in the absence of a showing that such determinations were arbitrary or capricious.
- F. An employee who is a not selected may meet with a designated member of the Department of Human Resources Development and Labor Relations to discuss the reasons for not being offered the position. At the request of the employee, a representative of the Union may be present at the meeting.
- G. An employee awarded a position under these provisions shall be allowed a trial period of thirty (30) calendar days, beginning with the first day in the new position. If during the trial period it is determined that an employee is not satisfactorily performing the work, the employee shall be reassigned to her/his former position. In addition, an employee may elect to

return to her/his former position within such trial period. An employee who is reassigned or elects to return to her/his former position will be paid at her/his former regular rate of pay plus any regular wage increases that would have been received by such employee in the former position, during the time the employee was in the new position.

- <u>Section 5.</u> Employees who are within an original probationary period or trial period are ineligible for inclusion in a pool of candidates to fill a vacancy.
- <u>Section 6.</u> Nothing in this article shall be construed to require the University to declare that a position vacancy exists; to create a position vacancy; or to create a new position.

#### ARTICLE XXVII

# LAYOFF, BUMPING, RECALL

<u>Section 1.</u> <u>Layoff.</u> When the University determines that a reduction in force becomes necessary due to lack of funds, for purposes of efficiency, or lack of work in a department(s), the University shall:

- A. Determine which positions(s) shall be laid off and notify the Union and the affected employee(s) in accordance with the following:
- 1. Where possible, the University shall give written notice of a potential layoff to the affected employee(s) and the Union ninety (90) calendar days in advance of the effective date. The employee(s) and the Union shall be given written notice of an actual layoff no fewer than thirty (30) calendar days in advance of the effective date.
- 2. The written notice shall include the reasons for the layoff(s), the effective date of the layoff(s), and a reference to the employees' rights under this Article and Article VIII (Grievance Procedure).
- 3. Prior to the ninety (90) or thirty (30) calendar day notice, the University shall meet and confer with the Union to discuss alternatives to layoffs. The Union's comments and ideas given to avoid the layoff will be considered before making a final decision. Prior to this meeting, the University shall provide the Union with a current seniority list, the reason for the reduction in force and a copy of the departmental budget(s).
- 4. A copy of the seniority list indicating the names of all bargaining unit employees, classification, and grade will be posted on the Department of Human Resources Development and Labor Relations' bulletin boards across campus and a copy shall be sent to the Union.
- B. The University shall maintain a layoff list and shall supply this list to the Union once a month, if applicable. The list shall contain employee names, dates of layoff, classifications, and dates of recall.

# Section 2. Layoff Procedure.

- A. Once the University determines the need for a layoff exists, it shall employ the following procedure:
- 1. The University shall first lay off non-bargaining unit temporary employees and probationary employees with the same job classification and within the department(s) where the layoff(s) occurs, in that order.
- 2. If further reductions are required, employees in the affected job classification(s) shall be laid off in the inverse order of seniority, provided that the remaining employees are immediately qualified to perform the required work. For purposes of layoff, bumping, and recall, qualified shall include ability, aptitude, skill, experience, and qualifications as stated in the job description.
- 3. Student employees shall not be used to perform significant components of the position of a laid off employee.
- 4. The University shall make available to laid-off employees, prior to their layoff date, job and career advising and information on benefits. Upon request, the University shall provide reasonable access to personal computers, typewriters, and copiers for use in preparing resumes and cover letters. Employees shall also have access to EAP services during this time period to deal with any stress-related issues.
- 5. If the work force is to be reduced, it shall be accomplished by layoff and not by any hours reduction. Only by agreement between the appropriate parties can the regular hours of employees be reduced.
- 6. If a layoff occurs during a period of unpaid leave, the employee on leave shall receive the same rights under this Agreement upon return to work as other employees.
- 7. Any employee scheduled to be laid off from his/her present job may elect to be transferred into a posted vacant bargaining unit position for which the employee is immediately qualified to perform the required work.
- 8. Seniority will continue to accrue up to eighteen (18) months during time spent on layoff, and the employee shall retain all seniority accumulated prior to layoff.

## Section 3. Bumping/Recall Procedure.

- A. Due to the large number of classifications in the bargaining unit and the diversity of skills necessary to fill positions across the University, the parties have not adopted a specific bumping and recall procedure in this Agreement.
- B. However, the University recognizes that bumping and recalls may take place in the event of a layoff, provided that remaining University operations shall not be adversely affected; and further provided that employees seeking to bump or to be recalled shall be immediately qualified as defined above.

- C. The parties intend to adopt, by mutual agreement, a specific bumping and recall procedure within four (4) months of the effective date of this Agreement, which procedure will include bumping within departments and across departmental lines, recall at least to the same classifications held prior to a layoff, and related issues of compensation, and may include restoration to a previous or comparable position.
- D. If the University determines that a layoff is necessary prior to the adoption of this bumping and recall procedure, the parties will meet to negotiate the impact of any layoff decisions.
- E. An employee who declines to transfer into a vacancy or to exercise his/her right to bump, or who is unable to do so, shall be laid off.
- F. An employee has five (5) work days from receipt by certified mail of a notice of recall to return to work. However, if an employee requests additional time during this five (5) day period, the employee will have up to ten (10) work days to report.
- G. An employee who is recalled to his/her classification shall be compensated at his/her former salary plus any additional increase provided during the layoff period.
- H. The University shall not hire new employees in bargaining unit positions as long as there are still eligible employees on the recall list who are immediately qualified to perform the work in the affected job classification and are willing to be recalled to said classification.
- Section 4. The University will continue to pay its share of all group insurance benefits consistent with the procedure set forth in this Agreement during a layoff such that said group coverages are continued through the end of the calendar month in which the employee last actually worked, or was on paid leave status, whichever is later. Beginning with the first day of the calendar month immediately following the month in which the employee last actually worked or was on paid leave status, whichever is later, the employee shall be responsible for paying for insurance benefits consistent with the provisions of COBRA for such period of time as the employee is eligible for COBRA coverage. An employee on layoff may convert her/his group basic life insurance benefit to an individual policy at her/his full cost according to the terms and conditions stipulated by the insurer in the Plan Certificate. A laid off employee may elect to "port", or convert to an individual policy at her/his full cost, voluntary group life insurance coverage according to the terms and conditions specified by the insurer in the Plan Certificate.

#### ARTICLE XXVIII

## **TEMPORARY TRANSFERS**

- <u>Section 1.</u> The University retains the right, as modified by the terms set forth in this Article, to temporarily transfer employees.
- <u>Section 2.</u> All temporary transfers from one job classification to another job classification shall not exceed forty-five (45) work days except:

- A. To fill a need caused by an employee being on sick or other approved leave of absence;
- B. To provide vacation relief scheduling; or
- C. To fill a need during the period pending the permanent filling of such vacancy.
- <u>Section 3.</u> If the University temporarily transfers an employee to another job classification, the employee shall:
- A. Receive her/his regular salary if the salary grade for such other classification is the same as or lower than the employee's salary grade;
- B. Receive the employee's regular salary during the first seven (7) calendar days of the transfer to a position in a higher salary grade. Beginning with the eighth (8<sup>th</sup>) calendar day, the employee shall be paid in the higher salary grade at the rate which results in not less than a 5% increase in base pay but not less than the minimum of the higher salary range, whichever is greater.
- Section 4. A temporary transfer shall not exceed six (6) months without the agreement of the affected employee. At the request of the employee, a representative of the Union may be present at the meeting in which an extension is discussed.
- Section 5. The University's right to transfer shall not extend to transferring an employee into a vacancy which would otherwise be filled by bidding or recall from layoff.

#### ARTICLE XXIX

## SUBCONTRACTING/CONTRACTING OUT

- Section 1. It is not the intent of the University to contract out or subcontract bargaining unit work for reasons other than to create greater efficiencies or to improve operational effectiveness as provided for in Article V, Management Rights. Prior to a decision being made to subcontract/contract out work, the Union shall be given, upon request, the opportunity to meet with the University and to discuss what options/alternatives may be available to maintain the work in the bargaining unit. The Union shall have a reasonable period of time, not to exceed thirty (30) days following the meeting, to provide alternatives to the University's intended action.
- Section 2. The University shall give the Union a minimum of sixty (60) calendar days advance written notice of its intent to contract out work.
- <u>Section 3.</u> In addition to the above, the Union shall have the right, upon request, to negotiate the impact of the intended actions of the University to subcontract/contract out bargaining unit work.
- <u>Section 4.</u> In the event of a dispute, relating to the terms of this Article, the Union shall have the right to file a grievance pursuant to Article VIII.

#### ARTICLE XXX

#### WORKING CONDITIONS

# Section 1. Workload of Professional Staff Who Do Not Teach.

- A. The University reserves the right to determine work assignments for each position. The distribution of work assignments will be made based upon consideration of classification level, employee qualifications, and organizational needs.
- B. If an employee believes that her/his workload is excessive, the employee shall meet with her/his supervisor to resolve the issue. At the request of the employee, a Union representative may be present at the meeting.
- C. If the workload issue remains unresolved, the employee may take the issue to the Vice President for Human Resources Development and Labor Relations or designee. At the request of the employee, a Union representative may be present at the meeting.

# Section 2. Workload of Professional Staff Who Teach.

- A. The maximum teaching load for a full-time professional staff member shall not exceed sixteen (16) credit hours per semester (four (4) four-credit hour courses per semester or equivalent course assignments not to exceed 32 semester credit hours per academic year).
- B. Authorized class sizes shall be determined by the Dean or her/his designee only after consultation with the professional staff members of the affected department. Only the professional staff member or the Dean or her/his designee can authorize an overload to any of her/his sections.
- C. Adjustments in a professional staff member's instructional assignment may be made by a chairperson to encourage the initiation of a special project, to acknowledge a continuing record of scholarly or creative achievement, or to discharge administrative functions.
- D. Upon request of the professional staff member and approval of the chairperson, reduced instructional assignments for a maximum of one (1) year may also be made at no reduction in pay in order to encourage professional development.

#### ARTICLE XXXI

## **OFF-CAMPUS WORK ASSIGNMENTS**

- <u>Section 1.</u> A member of the bargaining unit may, in consultation with the appropriate administrator(s), be given an off-campus assignment as part of his/her regular workload.
- <u>Section 2.</u> Employees shall be reimbursed for the use of their personal vehicles when the distance traveled to an alternative site of instruction and/or services is greater than their normal

commute to the central campus. Reimbursement for mileage, parking fees, and tolls shall be made in accordance with standard University policies governing travel. If inclement weather or other hazardous conditions prevail, then the employee shall also be reimbursed for hotel and meal expenses in accordance with standard University travel policy.

Section 3. Any instruction and/or services offered off-campus for academic credit shall be approved through the same procedures required for approval of on-campus offerings. All expenses for copying (i.e., syllabi, examinations, or other course materials) off-campus work assignments shall be borne by the University.

#### ARTICLE XXXII

## TEACHING AND PUBLISHING

<u>Section 1.</u> Employees, where applicable, are entitled to freedom in the classroom in discussing their subject, but they should be careful not to introduce into their teaching controversial matter which has no relation to their subject.

Section 2. Employees writing articles outside the scope of their job duties may identify themselves as employees of the University and may mention the University in such articles or publications, provided that the employees indicate that the articles or publications are written in their individual capacities and not as employees of the University, and further indicate that the University is not responsible for the contents of their articles or publications.

#### ARTICLE XXXIII

#### PATENTS AND COPYRIGHTS

Section 1. Preamble This Article relates to Intellectual Property, meaning any copyrightable property, meaning any copyrightable or patentable matter, including but not limited to: books, texts, articles, monographs, glossaries, bibliographies, study guides, laboratory manuals, syllabi, tests and work papers, lectures and lecture notes, musical and/or dramatic compositions, unpublished audiotapes and cassettes, computer programs and software, live video photographs, and other works of art.

Section 2. Policy For the purposes of this Agreement, the definitions, disclosure, and review process and general policies relating to patents and copyrights contained in the 1997-2000 AAUP collective bargaining agreement, as they relate to bargaining unit employees, will be fully incorporated herein.

## ARTICLE XXXIV

# CONSULTING AND EXTRAMURAL EMPLOYMENT

<u>Section 1.</u> The University recognizes the value, for its personnel, for the University, and for the community, of many types of consulting and other external employment experiences which

enhance the professional competency of the individual and bring credit, not only to the employee, but to the University as well. Therefore, with appropriate approval, activities are permitted that may render valuable service to business, industry, professional groups, local, state, and federal governments.

- <u>Section 2.</u> No employee shall be permitted to undertake any extramural employment during scheduled hours of employment without prior written approval.
- Section 3. No employee shall use the name, symbol, or address of Cleveland State University in any extramural employment agreement. University staff, facilities, equipment, and materials may be used for such purposes only with the express written consent of the University and according to explicit terms for reimbursement.

#### ARTICLE XXXV

#### SUMMER SCHOOL

<u>Section 1.</u> <u>Summer Assignments.</u> Summer teaching assignments shall be determined on the basis of the programmatic needs of departments and programs.

Within the scope of departmental or programmatic need, written procedures will be developed in each department or program so as to provide an equitable opportunity for full-time professional staff members who wish to teach summer school.

Section 2. Summer Salary. For summer school in 2000, a professional staff employee shall be compensated for summer teaching at the rate of \$1,050 per semester credit hour. For summer school in 2001, a professional staff employee shall be compensated at the rate of \$1,100 per semester credit hour. It is understood that no staff member shall earn less than he or she earned, per course, in FY '99.

## ARTICLE XXXVI

#### TRAINING AND PROFESSIONAL DEVELOPMENT

- Section 1. The University will endeavor, within budgetary constraints, to provide opportunities that promote continuing education, training, and upgrading of employees. Training and Professional Development is a shared responsibility, and it is intended that these opportunities will enable employees to increase their knowledge and skill, enhance their promotional opportunities and advance their career goals.
- <u>Section 2.</u> The Union may advise and consult with the Department of Human Resources Development and Labor Relations on the possible development, content, and format of career advancement programs, courses, and workshops that may be available to the employees.
- <u>Section 3.</u> In order to notify employees of staff development opportunities, appropriate jobrelated materials pertaining to staff professional development (i.e., announcements of

conferences, research opportunities, etc.) will be available to each employee in the relevant job assignment area.

<u>Section 4.</u> Upon receiving supervisory approval, an employee who is required to hold a license, registration, or certification as a condition of employment shall be allowed to attend professional meetings or conferences in order to secure or maintain the necessary license, registration, or certification. The employee shall schedule attendance consistent with the operational needs of the department.

<u>Section 5.</u> The University recognizes the benefits of professional staff participation as presenters at professional meetings, as officers of professional organizations, participants in community service organizations, and as recipients of awards. Supervisors may permit attendance at meetings or conferences where such attendance does not interfere with unit operations. Supervisors may also provide financial support for these activities.

#### ARTICLE XXXVII

## **TUITION REMISSION**

Section 1. Eligibility. Beginning with the Summer Semester in 2000, full-time employees shall receive tuition remission benefits for courses taken at the University as described in this Article.

Section 2. Description. The University will cover instructional and general fees (this does not include miscellaneous fees such as those listed in the University Bulletins and other similar fees) for up to six (6) semester hours of undergraduate or graduate courses per semester or fees for continuing education courses up to the equivalent cost of six (6) undergraduate credit hours per semester. If the employee takes fewer than six (6) credit hours per semester (graduate or undergraduate), the University will also cover continuing education fees up to the cost of the unused credit hours at the undergraduate rate. Any unused credits may not be "banked." Application and transcript fees are the responsibility of the employee. The University will not cover fees for employees to participate in the College of Business "AMBA" or "EMBA" programs.

Section 3. Application/Information. Employees who choose to participate in the Tuition Remission program must follow the University's application and enrollment procedures. Applications for the Tuition Remission program may be obtained from the Department of Human Resources Development and Labor Relations and tax information will be provided at that time.

Section 4. Release Time. An employee who wishes to attend a job-related course which is available only during working hours may be excused from work with the supervisor's approval. Job-related courses are those which, in the judgment of the employee's department head, will contribute significantly to the maintenance or improvement of the employee's job performance. Work schedules may be adjusted to permit employees to attend courses. Such adjustments shall not be unreasonably denied.

#### ARTICLE XXXVIII

## STUDENT FEE AUTHORIZATION PROGRAM

State University is an educational assistance program for spouses and eligible dependent children of full-time employees. Beginning with the Summer Semester in 2000, fee remission as described in this Article will be provided for full instructional and general fees charged for enrollment in credit courses by undergraduate students and for half of the total instructional and general fees charged for enrollment in credit courses by graduate and professional students. Benefits apply only to the in-state portion of any fee. The Student Fee Authorization Program may not be used for Continuing Education Courses, AMBA, or EMBA Programs.

Section 2. Application/Information. Employees' spouses and eligible dependents who choose to participate in the Student Fee Authorization Program must follow the University's application and enrollment procedures. Applications for the Student Fee Authorization Program may be obtained from the Department of Human Resources Development and Labor Relations and tax information will be provided at that time.

## Section 3. Eligibility of Spouses and Children

For spouses or dependent children to be eligible for participation, an employee must have at least two (2) years of continuous full-time service with the University since the most recent hire date (if more than one). Eligibility is determined as of the first day of the session for the course(s) desired. The University will cover only the cost of fees for spouses and dependent children of employees who are eligible for the program on the first day of the session.

Eligible dependents include the spouse of a qualifying employee or children who are financially dependent on the qualifying employee. A financially dependent child is one who currently meets the Internal Revenue Service dependency definition and is being claimed on the qualified employee's federal income tax return for the current year.

Eligible spouses and children receiving any form of financial aid through the University or other governmental agencies will receive fee remission under this policy only to the extent that no cash disbursement of this benefit results from multiple awards. Financial aid regulations governing the Fee Authorization Program are available in the Financial Aid Office.

<u>Section 4.</u> Benefit. An eligible spouse or child may participate in the program for a total of 144 semester credit hours or two (2) academic degrees, whichever is less.

In the event of the divorce of a qualifying employee, eligibility is continued for the spouse for a period of up to two (2) years, as long as the qualifying employee remains in full active status at Cleveland State University.

# Section 5. Changes in Employment Status.

- A. In the event of a qualifying employee's death, eligibility will be continued for a spouse and/or children regardless of their registration status at that time.
- B. With ten (10) years of service, in the event of a qualifying employee's retirement or total disability (under PERS, STRS or Social Security), eligibility will be continued for a spouse and/or children regardless of their registration status at that time.
- C. If an appointment is continued at less than full-time, or if a leave of absence without pay is granted, eligibility is continued for two (2) full semesters beyond the date of the change in status. If an appointment is terminated as a result of a reduction of the workforce, benefits are extended for a period of two (2) full semesters for those who have been attending Cleveland State University during the two (2) academic terms immediately preceding the layoff. In the event of any other termination of active service with the University, the Fee Authorization Program eligibility ceases.

#### ARTICLE XXXIX

#### **HEALTH AND SAFETY**

- Section 1. Safe Working Environment. The University and the Union agree that the safety of all employees is a matter of utmost importance. It is the responsibility of the University to provide safe working conditions, equipment, and work methods for its employees. The University agrees to continue to comply with health and safety standards provided for in applicable state and federal statutes.
- Section 2. Safety concerns may be brought to the attention of the Labor-Management Committee for consideration as necessary. Recommendations by the Labor-Management Committee will be promptly considered by the University.
- <u>Section 3.</u> The University will discuss with the Union any complaint or recommendation concerning safety and health.
- Section 4. All employees shall, as soon as practicable, report unsafe working conditions or equipment to their supervisors. Any employee involved in an accident shall report the accident and any injury sustained according to University procedures. The employee and the supervisor shall, as soon as practicable, fill out an accident report on the form provided by the University, which must include the names of all witnesses to the accident. A copy of the accident report will be given to the employee when it is filed.
- <u>Section 5.</u> <u>Equipment and Electronic Technology Guidelines.</u> The University will give due consideration to health and safety issues, including ergonomics, in the use of its equipment and electronic technology.
- <u>Section 6.</u> Grievances which involve hazardous conditions that could result in bodily harm will begin at Step 2 of the Grievance Procedure.

#### ARTICLE XL

#### RETIREMENT AND RESIGNATION

Section 1. Notice of Resignation. An employee planning to resign shall give written notice of intent to her/his immediate supervisor and to the appropriate administrator at least thirty (30) days-before voluntary resignation. The employee may give fewer than thirty (30) days' notice with prior approval from her/his supervisor.

Section 2. Notice of Retirement. An employee planning to retire should give written notice of intent to retire to her/his immediate supervisor and the Department of Human Resources Development and Labor Relations at the earliest date possible, but not less than thirty (30) calendar days before termination of service.

# Section 3. Separation from Employment.

A. An employee, upon separation from employment with the University, may donate up to an additional twenty-five (25) hours of sick leave to the Sick Leave Bank.

# B. Sick Leave Pay Out.

- 1. At the time of retirement, employees with ten (10) or more years of service with the State of Ohio will be compensated for not more than one-fourth (1/4) the value of accrued but unused sick leave. The maximum payment shall be 240 hours. The rate of pay will be based on an employee's rate of compensation at the time of retirement. Acceptance of such payment shall eliminate all accumulated sick leave credit. Payments shall be deposited according to the employee's most recent payroll direct deposit instructions.
- 2. Upon the death of an employee, the sick leave pay out in subsection B. 1. above will be paid to the employee's beneficiary or estate.
- C. <u>Vacation Pay Out.</u> Accumulated but unused vacation time up to a maximum of twenty-two (22) days will be paid upon termination of employment, retirement, or death. Payments shall be deposited according to the employee's most recent payroll direct deposit instructions.

#### ARTICLE XLI

# ASSOCIATE OF THE UNIVERSITY STATUS

Section 1. Qualifications. Appointment to Associate of the University status may be granted to distinguished retiring professional staff employees, exclusive of those eligible for emeriti faculty rank, pursuant to the procedures set forth below.

- Section 2. Procedures for Appointment. Nominations/applications for appointment may be made by any member of the university community and are contingent upon the following criteria:
  - A. Ten (10) or more years of full-time service to Cleveland State University (CSU).
- B. Submission of credentials and major professional accomplishments at CSU that represent distinguished service to CSU.
  - C. Favorable recommendations of the employee's supervisor.
- Section 3. Nominations/applications are submitted to the Department of Human Resources Development. Additional supporting materials and information may be requested.

If the Department of Human Resources Development concurs that Associate status be granted, it shall forward its recommendations to the President, who shall recommend to the Board of Trustees all nominees whom the President deems acceptable.

Section 4. Privileges. Associates of the University shall be issued a current identification card and shall be entitled to listings in the CSU Bulletin and telephone directory, mailings of appropriate materials, use of such University facilities as the gym and library, access to the University's Internet provider, and such other privileges as the President may designate.

#### ARTICLE XLII

# **COMMITTEES/TASK FORCES**

The Union has the option of making appointments to committees/task forces with University community representation regarding matters that impact the wages, benefits, and working conditions of the bargaining unit. It is understood that the work of a committee/task force is advisory. It is not the purpose of the committee system to supplant the collective bargaining process.

#### ARTICLE XLIII

#### **MISCELLANEOUS**

- <u>Section 1.</u> <u>Library.</u> Routine interlibrary loan of materials needed for teaching or scholarship/research requested through the CSU Libraries will be available free of cost to employees.
- Section 2. Parking Facilities. Employees who wish to use the University parking facilities may obtain a parking permit for a fee from the University. Annual permits will be sold to employees on a cash or payroll deduction basis. Employees shall be subject to all parking rules and regulations.
- A. The amount of parking fee increases, if any, shall be uniformly applied to employees, students, staff and administrators.

- B. Income from parking fees shall be used only to support parking facilities and operations, including maintenance and new construction.
- C. The Union shall be informed of any proposed changes in parking fees at least one semester in advance, and the Administration shall discuss any proposed changes in fees with the Union.
- <u>Section 3.</u> <u>Recreation Facilities.</u> Employees shall have use of University recreational facilities subject to all University rules and regulations, including applicable user fees.
- A. Income from recreation fees shall be used only to support recreation facilities and operations.
- B. The Union shall be informed of any proposed changes in recreation fees at least one semester in advance, and the Administration shall discuss any proposed changes in fees with the Union.
- Section 4. Provision and Use of University Postage. The Administration shall provide postage for employees to mail materials related to scholarly, professional, or instructional activities related to University business. Employees shall not use University postage to mail materials of a personal nature.
- <u>Section 5.</u> <u>Conflict of Interest.</u> No employee shall have any unlawful interest in a public contract prohibited by Section 2921.42 of the Ohio Revised Code.

#### ARTICLE XLIV

#### LEGAL PROTECTION

The University shall provide legal representation to employees who are defendants in civil actions arising out of their employment, at their request, subject to the Ohio Attorney General's approval and in accordance with Ohio Revised Code Sections 109.361 and 109.362, which provide reasons an employee may and may not receive legal protection.

The University shall also indemnify and hold harmless employees from liability arising out of their employment by paying any judgments, other than judgments for punitive or exemplary damages, or as otherwise prohibited in Ohio Revised Code Section 9.87.

#### ARTICLE XLV

# SUCCESSORSHIP

This Agreement shall be binding and inure to the benefit of each of the parties hereto.

Provided that there is no statutory impediment or prohibition, in the event that the control of the operations or facilities of the University transfers to another entity, the successor entity

shall be bound by this Agreement and be required to recognize the Union with respect to the facilities and bargaining unit personnel of the University at the time of completion of such transaction.

The Union shall be given written notice of such transactions no later than thirty (30) calendar days prior to the consummation of same.

#### ARTICLE XLVI

# **SAVINGS PROVISION**

If any provision of this Agreement conflicts with the provision of any applicable federal or state statute, or Executive Order having the effect of law, now in force or hereafter enacted, the remainder of the Agreement shall remain in full force and effect unless the parts so found to be void or illegal are wholly inseparable from the remaining portions of this Agreement. The Administration and the Union will meet within thirty (30) calendar days after the conflict in law/statute is brought to the formal attention of the parties for the purpose of negotiating new language in that provision which will meet their requirements and that of the law.

#### ARTICLE XLVII

#### **DURATION**

This Agreement shall be in full force and effect from July 1, 1998 through and including June 30, 2001. This Agreement shall be renewed automatically for successive one (1) year periods unless either party serves written notice to the other of an intent to reopen negotiations on this Agreement no later than sixty (60) days prior to the date of expiration.

IN WITNESS WHEREOF, the parties have hereunto set their hands this  $\frac{1}{2}$  day of Lecember, 2000.

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CLEV	/ELAND STATE UNIVERSITY	INTE DIST	VICE EMPLOYEES ERNATIONAL UNION, TRICT 925, AFL-CIO,
Ву:	David G. Hill Chairman, Board of Trustees	CLE	VELAND STATE CHAPTER  Anne Hill District 925 Staff
By:	Claire Van Ummersen President	Ву:	Peggy Torzewski District 925 Staff
Ву:	Nancy J. Cribbs University Legal Counsel	By:	Sail Marredeth  Gail Matredeth
Ву:	Joseph S. Nolan Vice President	Ву:	Donna Henderson-Moore
	y locations	Ву:	Judith Heyer
		By:	Genobrea J. Jos Rusti Andrea F. LoPresti
		By:	Louise Mooney
		By:	Jim Szatkowski
		Ву:	Pohert W. Walter Robert W. Walter

APPENDIX A

Salary Ranges for Cleveland State University
Professional Staff Employees Covered by
SEIU/District 925

	Minimum	Midpoint	Maximum
1	\$15,600.00	\$20,808.00	\$26,016.00
į	\$7.50	\$10.00	\$12.51
2	\$17,736.00	\$23,640.00	\$29,568.00
	\$8.53	\$11.37	\$14.22 ·
3	\$20,160.00	\$26,880.00	\$33,600.00
	\$9.69	\$12.92	\$16.15
4	\$22,896.00	\$30,528.00	\$38,160.00
	\$11.01	\$14.68	\$18.35
5	\$26,016.00	\$34,680.00	\$43,344.00
	\$12.51	\$16.67	\$20.84
6	\$29,568.00	\$39,408.00	\$49,272.00
	\$14.22	\$18.95	\$23.69
7	\$33,600.00	\$44,784.00	\$55,992.00
	\$16.15	\$21.53	\$26.92
8	\$38,184.00	\$50,904.00	\$63,624.00
	\$18.36	\$24.47	\$30.59
9	\$43,392.00	\$57,840.00	\$72,312.00
	\$20.86	\$27.81	\$34.77

Effective October 1, 1996
Ranges above reflect annual (full time, 12 month rates)
and hourly rates.

APPENDIX B

Grade	Minimum	Midpoint	Maximum
1	\$16,120.00	\$21,424.00	\$26,832.00
	\$7.75	\$10.30	\$12.90
2	\$18,512.00	\$24,336.00	\$30,472.00
	\$8.90	\$11.70	\$14.65
3	\$20,800.00	\$27,664.00	\$34,632.00
	\$10.00	\$13.30	\$16.65
4	\$23,608.00	\$31,408.00	\$39,312.00
	\$11.35	\$15.10	\$18.90
5	\$26,832.00	\$35,672.00	\$44,720.00
	\$12.90	\$17.15	\$21.50
6	\$30,472.00	\$40,560.00	\$50,752.00
	\$14.65	\$19.50	\$24.40
7	\$34,632.00	\$46,176.00	\$57,720.00
'	\$16.65	\$22.20	\$27.75
	<b>\$10.00</b>	<b>422.20</b>	<b>42 4</b>
8	\$39,312.00	\$52,416.00	\$65,520.00
	\$18.90	\$25.20	\$31.50
	£44.700.00	\$50.500.00	\$74.464.00
9	\$44,720.00	\$59,592.00	\$74,464.00
	\$21.50	\$28.65	\$35.80

Effective July 1, 1998
Ranges above reflect annual (full time, 12 month rates)
and hourly rates.

**APPENDIX C** 

Grade	Minimum	Midpoint	Maximum
1	\$16,952.00	\$22,048.00	\$27,976.00
	\$8.15	\$10.60	\$13.45
	<b>640 044 00</b>	#0F 004 00	#04 000 00
2	\$19,344.00	\$25,064.00	\$31,928.00
	\$9.30	\$12.05	\$15.35
3	\$21,944.00	\$28,496.00	\$36,192.00
	\$10.55	\$13.70	\$17.40
4	\$24,856.00	\$32,344.00	\$41,080.00
	\$11.95   	\$15.55	\$19.75
5	\$28,288.00	\$36,712.00	\$46,592.00
	\$13.60	\$17.65	\$22.40
	•	•	
6	\$32,136.00	\$41,808.00	\$53,040.00
	\$15.45	\$20.10	\$25.50
7	\$36,608.00	\$47,528.00	\$60,320.00
	\$17.60	\$22.85	\$29.00
8	\$41,600.00	\$53,976.00	\$68,536.00
	\$20.00	\$25.95	\$32.95
9	\$47.246.00	\$61,360.00	\$77.806.00
"	\$47,216.00	· · · · · · · · · · · · · · · · · · ·	\$77,896.00
	\$22.70	\$29.50	\$37.45

Effective July 1, 1999
Ranges above reflect annual (full time, 12 month rates)
and hourly rates.

APPENDIX D

Grade	Minimum	Midpoint	Maximum
1	\$17,576.00	\$22,880.00	\$29,016.00
	\$8.45	\$11.00	\$13.95
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2	\$19,968.00	\$26,000.00	\$32,968.00
	\$9.60	\$12.50	\$15.85
3	\$22,672.00	\$29,536.00	\$37,440.00
	\$10.90	\$14.20	\$18.00
4	\$25,792.00	\$33,488.00	\$42,536.00
	\$12.40	\$16.10	\$20.45
	\$12.40	\$10.10	φ20.45
5	\$29,328.00	\$38,064.00	\$48,360.00
	\$14.10	\$18.30	\$23.25
6	\$33,280.00	\$43,264.00	\$54,912.00
	\$16.00	\$20.80	\$26.40
7	\$37,856.00	\$49,192.00	\$62,400.00
'	\$37,838.00 \$18.20	\$49,192.00 \$23.65	\$30.00
	\$10.20	φ23.03	\$30.00
8	\$42,952.00	\$55,848.00	\$70,928.00
	\$20.65	\$26.85	\$34.10
9	\$48,880.00	\$63,440.00	\$80,600.00
	\$23.50	\$30.50	\$38.75
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Effective July 1, 2000 Ranges above reflect annual (full time, 12 month rates) and hourly rates.

APPENDIX E

Salary Ranges for Cleveland State University

Professional Staff Employees Covered by SEIU/District 925
Information Systems & Technology Positions only

Grade	Minimum	Midpoint	Maximum
1	\$18,512.00	\$24,336.00	\$30,472.00
	\$8.90	\$11.70	\$14.65
2	\$20,800.00	\$27,664.00	\$34,632.00
	\$10.00	\$13.30	\$16.65
	***	#04 400 00	¢20, 242, 00
3	\$23,608.00	\$31,408.00	\$39,312.00
i '	\$11.35	\$15.10	\$18.90
4	\$26,832.00	\$35,672.00	\$44,720.00
	\$12.90	\$17.15	\$21.50
5	\$30,472.00	\$40,560.00	\$50,752.00
	\$30,472.00 \$14.65	\$19.50	\$24.40
	Ψ1 <del>4</del> .00	\$10.00	<b>4</b> 2
6	\$34,632.00	\$46,176.00	\$57,720.00
ļ	\$16.65	\$22.20	\$27.75
7	\$39,312.00	\$52,416.00	\$65,520.00
<b>l</b> '	\$18.90	\$25.20	\$31.50
	\$10.90	Ψ20.20	<b>40</b> 1,00
8	\$44,720.00	\$59,592.00	\$74,464.00
	\$21.50	\$28.65	\$35.80
9	\$51,376.00	\$68,536.00	\$89,024.00
]	\$24.70	\$32.95	\$42.80
	Ψ24.70	<b>\$62.55</b>	<b>V.2.00</b>

Effective November 1, 1999
Ranges above reflect annual (full time, 12 month rates)
and hourly rates.

**APPENDIX F** 

Information Systems & Technology positions only

Grade	Minimum	Midpoint	Maximum
1	\$19,968.00	\$26,000.00	\$32,968.00
	\$9.60	\$12.50	\$15.85
2	\$22,672.00	\$29,536.00	\$37,440.00
	\$10.90	\$14.20	\$18.00
3	\$25,792.00	\$33,488.00	\$42,536.00
·	\$12.40	\$16.10	\$20.45
4	\$29,328.00	\$38,064.00	\$48,360.00
	\$14.10	\$18.30	\$23.25
	•		07404000
5	\$33,280.00	\$43,264.00	\$54,912.00
	\$16.00	\$20.80	\$26.40
	007.050.00	£40,400,00	¢62 400 00
6	\$37,856.00	\$49,192.00	\$62,400.00
	\$18.20	\$23.65	\$30.00
-	\$42,952.00	\$55,848.00	\$70,928.00
7	\$42,952.00 \$20.65	\$33,848.00	\$70,920.00
	\$20.00	\$20.03	ψ54.10
	£40 000 00	\$63,440.00	\$80,600.00
8	\$48,880.00		\$38.75
	\$23.50	\$30.50	φ <b>30.7</b> 5
9	\$54,808.00	\$73,008.00	\$94,952.00
]	\$34,808.00	\$75,000.00	\$45.65
	\$20.33	Ψ00.10	₩-0.00

Effective July 1, 2000
Ranges above reflect annual (full time, 12 month rates)
and hourly rates.

#### APPENDIX G

#### **CLEVELAND STATE UNIVERSITY**

# Department of Human Resources Development and Labor Relations

TITLE:

Compensatory Time Policy for SEIU District 925 Bargaining Unit Employees

**PURPOSE:** 

To establish guidelines for the accrual, use and tracking of compensatory time for employees covered by the SEIU District 925 bargaining unit agreement.

EFFECTIVE DATE: April 26, 2000

**POLICY:** 

Any full-time SEIU District 925 Bargaining Unit employee of Cleveland State University who is authorized to work more than forty hours or more than five eight-hour work days in a normal work week is entitled to compensatory time at a rate of one hour for each hour of overtime worked, or to have his or her work schedule adjusted, or both, to compensate such employee for the additional hours or days worked. This policy shall also apply to any salaried part-time SEIU District 925 Bargaining Unit employee who is assigned to work additional hours beyond his or her normal schedule.

**DEFINITION:** 

Work week: Sunday through Saturday

#### **GUIDELINES:**

If an employee finds it necessary to work more than his or her normal work week schedule as explained in this policy on page one ("normal work week schedule"), the employee will inform his or her department head as soon as possible beforehand on the Compensatory Time Request Form (see attached). When reporting compensatory time, the employee shall record the compensatory time earned or used. The department head will keep a record of the time accrued and expended on the Record of Compensatory Time Earned and Taken form (see attached). A copy of the Record of Compensatory Time Earned and Taken form must be sent to the Department of Human Resources Development and Labor Relations ("HR") for each pay period in which an employee earns or uses compensatory time. All original documents will remain within the originating department and be subject to review.

Normally, compensatory time must be approved by an employee's supervisor. In those circumstances in which an accurate estimate of the compensatory time to be incurred cannot be predicted, the employee shall inform the supervisor as soon as he or she can determine the actual amount of compensatory time needed. Where there may be a dispute over the amount of compensatory time that is needed to complete a task or tasks, a next higher level supervisor shall decide such time. When possible, the proposed compensatory time shall not be unreasonably denied, and may even be increased upon the approval of the next higher level supervisor.

If an employee finds that he or she must unexpectedly work beyond his or her normal work week schedule and has not sought approval and/or is unable to locate a person authorized to grant

approval, he or she will follow the same procedure as above, declaring post facto on the Compensatory Time Request Form the number of hours worked overtime. Disputes shall be resolved by the next higher level supervisor.

Where the appropriate department head authorizes an employee's work week to exceed the normal work week schedule, resulting in the accrual of compensatory time, employees and supervisors should, when feasible, make arrangements to have at least one other person stationed near the employee working overtime.

Employees may work from their homes on weekends or holidays, where possible, following the procedures above.

If a department head has reason to believe that the policy is being abused and has documented the abuse, then disciplinary action may be taken.

#### Compensatory Time vs. Vacation Leave:

Employees who have earned compensatory time should take such time off prior to using vacation leave. However, this does not apply when an employee's vacation balance is approaching his or her maximum annual vacation leave carry-over (240 hours). In this case, the employee would be allowed to use his or her vacation leave first in order to avoid being penalized by losing vacation leave.

# Accrual and Usage:

- Compensatory time shall be accrued on an "hour for hour" basis in increments of .5 hours.
- Compensatory time may be accrued up to a maximum of 160 hours.
- No more than 40 hours of earned compensatory time may be accumulated in any pay period.
- All accrued and unused compensatory time must be used within the 12-month period following the week during which the overtime occurred or it will be forfeited.
- Accrued and unused compensatory time available prior to the effective date of this
  policy must be used within two years of the effective date of this policy (i.e., April
  26, 2002); otherwise it will be forfeited. Each department shall track the usage of this
  compensatory time.
- Compensatory time will not be prorated between departments in a joint staffing situation. The department that authorizes compensatory time will be solely responsible for the internal record keeping, the transmittal of information to HR, and the use of an employee's accrued time.

# Promotion, Transfer, or Termination:

If an employee is promoted, transferred to another department, or changes from bargaining unit to non-bargaining unit status, the employee must be allowed to use all accrued compensatory time before leaving or, with agreement of the new department, must be allowed to use such time within one year of the accrual; otherwise it will be forfeited.

Upon separation (resignation, retirement, voluntary termination) the employee shall be allowed to use the unused balance of compensatory time or it will be forfeited.

# **USAGE PROCEDURES:**

- 1. The staff member must secure <u>prior written approval</u> from the supervisor before compensatory time can be used.
- 2. An employee must use compensatory time during his or her regularly scheduled workweek.
- 3. An employee who has accrued compensatory time and who has requested the use of such time shall be permitted by the employee's supervisor to use such time within a reasonable period after making the request, if the use of the compensatory time does not unduly disrupt the normal operations of the department.

#### RECORDKEEPING:

It is the responsibility of supervisors to familiarize themselves with the necessary reporting obligations to enable them to consistently administer this policy. Accurate record keeping and appropriate supporting documentation of overtime hours and compensatory time credited and taken is mandatory. Departmental administrators shall be responsible for assuring that compensatory time balances do not become excessive.

1. Departments must document compensatory time approved, accrued, and used, consistent with the other provisions of this policy. Each department shall:

a. Develop written internal approval procedures for the accrual and usage of compensatory time. \*

b. Maintain internal records that indicate exactly when and how an employee worked in a given workweek.

c. Maintain appropriate supporting documentation for each grant of compensatory time off made to any employee.

2. Departments must complete (both the employee and the department head) a Compensatory Time Request Form. A copy is attached to this policy statement. Copies are also available by calling HR at 687-3636.

Departments should submit the Record of Compensatory Time Earned and Taken form to HR (Fenn Tower, Room 201) at the end of each pay period.

\* Subsequent to the collective bargaining agreement between the University and SEIU District 925 regarding compensatory time the parties agreed that there would be a standard compensatory time policy and procedure for the employees covered by the collective bargaining agreement.

E Mandalth

Meveland State University

Department of	
Compensatory Time Request Form	
То:	
Supervisor (please print)	
From: Employee (please print)	
Employee (please print)	
Date:/	
This is to request your authorization to work overtime:	This is to request your approval to use previously earned compensatory time:
Date(s):	Date(s):
Number of Hour(s):	Number of Hour(s):
Reason:	
Signature:	Signature:
Approved	
Denied	
Supervisor's Signature	Date //

#### Directions:

- Secure signatures of both the employee and the authorizing agent.
- Retain original in departmental files, available for review.
- Provide employee with copy if requested.
   Forward copy to the Department of Human Resources Development and Labor Relations, Fenn Tower, Room 201.

# **Cleveland State University**

Record of Compensatory Time Earned and Taken E=Earned Compensatory Time
U=Used Compensatory Time

Department:	

Period Begin Date: _				Pay Period	End Date:			
Name/ID	Sun	Mon	Tues	Wed	Thu	Fri	Sat	Total
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# Instructions to Department:

- 1. Complete this form each pay period in which the employee earns (E) or uses (U) compensatory time.
  - Pay period begin and end dates.
  - Employee's name and ID#.
  - Number of compensatory hours the employee earned (E) or used (U) each week.
- 2. Secure signature of department head.
- 3. Retain original in departmental files, available for review.
- 4. Forward copy to the Department of Human Resources Development and Labor Relations, Fenn Tower, Room 201.

12/2/199 575

#6 - Union Representation December 2, 1999

# LETTER TO SUPERVISORS OF UNION EXECUTIVE BOARD MEMBERS

To Whom It May Concern:

Pursuant to Article VII of the Agreement between the University and District 925, members of the Union's Executive Board will be attending monthly meetings that may be scheduled during working hours. Every effort should be made to accommodate and rearrange the work schedules of such employees so that they will be able to attend such meetings.

Joseph S. Nolan Vice President, Human Resources Development and Labor Relations

# SIDE LETTER AGREEMENT

During negotiations the Union proposed to extend certain benefits to same-sex domestic partners of bargaining unit employees, specifically paid sick leave and group health insurance. At that time, the University was not prepared to extend any benefits to same-sex domestic partners, which is consistent with the policies of all other state universities in Ohio.

However, if during the life of this Agreement another state university in Ohio extends paid sick leave or group health benefits to same-sex domestic partners, Cleveland State University will negotiate concerning the extension of such benefits to the same-sex domestic partners of bargaining unit employees. Of course, the granting of such benefits by this University would have to be consistent with all other provisions and limitations of this Agreement.

CLEVELAND STATE UNIVERSITY

Bv.

Date: OL

DISTRICT 925, SEIU

By:

Date:





# STATE EMPLOYMENT **RELATIONS BOARD**

2001 JAN 16 A 9:38

**SERB** 65 East State Street 12<sup>th</sup> Floor Columbus, OH 43215-4213

January 11, 2001

labor agreement with SEIU District925.

RE: Cleveland State University Labor Agreement with SEIU District925

DEBORAH SCHNEIDER President

> ANNE HILL Secretary-Treasurer

Enclosed you will find (2) two copies of Cleveland State University's signed

This document is three-hole punched for your convenience and is provided for your information and use.

If you have further need of assistance, please contact Peggy Torzewski at

SERVICE EMPLOYEES INTERNATIONAL UNION AFL-CIO, CLC

1419 Hancock Street #201 Quincy, MA 02169 617.770.2925 Fax: 617.689.3218

1216 E. McMillian St. #300 Cincinnati, OH 45206 513.861.3925 Fax: 513.861.1288

614 W. Superior Ave. #852 Cleveland, OH 44113 216.566.0117 Fax: 216.566.0192

2900 Eastlake Ave. E. #230 Seattle, WA 98102 206.322.3010 Fax: 206.322.6842

C) ••

District925 (216) 566-0117 ext. 210.

Sincerely,

DATE:

Tamera Slaughter

Administrative Support

Cc: P. Torzewski

File

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